

**PROCEDURE FOR ADDITIONAL REPORT PROVISION
BY INTERNATIONAL TRADING SYSTEM LIMITED
TO THE TRADING MEMBERS**

***Procedure for Additional Report Provision by the ITS
to the Trading Members***

1. This Procedure for Additional Report Provision by International Trading System Limited to the Trading Members (the Procedure) is approved in accordance with Business Rules of the ITS and sets out the list of information, procedure, forms, and time limits for provision to the Qualified Investments Trading Members of additional reports sent by the International Trading System Limited (the "ITS").

2. Terms used in this Procedure have the meanings set out in the ITS Business Rules and AIFC Multilateral and Organised Trading Facilities Rules.

3. In addition to information contained in extracts from the Agreement register, the ITS sends the Trading Members additional reports (the Reports), that include the following report forms:

- EXC02: Information on Agreements (Options) Concluded by a Trading Member during a Trading Day;
- EXC03 Information on Agreements Concluded by a Trading Member during a Trading Day;
- EXC21 Information on Trading Results during a Trading Day;
- EXC22: Information on the results of Derivatives Trading during a Trading day;
- EXC82 Notification on Registered Codes/ Short Codes of Trading Member Clients and/or the Trading Member.

4. Reports indicated in clause 3 of this Procedure are provided to the Trading Members in accordance with Annex 2 Report Forms to this Procedure in form of electronic documents in XML format (except for the cases, when this Procedure provides for another report format) via the Document Exchange System of ITS Tech Limited Private Company (the EDMS) by the beginning of the main of trading session on the next Trading Day after the Trading Day, for which relevant Reports are provided.

5. This Procedure is to be published on the official web-site of the ITS.

1.1. Requirements for File Names for the Purpose of Electronic Document Management between the Trading Members and the ITS

The following EDMS address is used for document exchange: ITSKK@CLIENT.

Document Name (Document Type)	File name prefix for inbound ITS document	File name prefix for a response document from ITS
Application for provision of extract from the order register	Application for provision of extract from the order register_	ANSWER_ Application for provision of extract from the order register__
Application for provision of information on Orders submitted by the Trading Member, that were not registered in the order register	Application for provision of information on Orders that were not registered_	ANSWER_ Application for provision of information on Orders that were not registered_
Application for provision of extract from the agreement register.	Application for provision of extract from the agreement register_	ANSWER_ Application for provision of extract from the agreement register_

1.2. Reports

The following EDMS address is used for document exchange: ITSKK@REPORT.

Report	Trading day for which the report is submitted	Report Generation	Name of the report archive file
EXC02: Information on Agreements (Options) Concluded by a Trading Member during a Trading Day; EXC03. Information on Agreements Concluded by a Trading Member during a Trading Day (extract from the order register). EXC21. Information on Trading Results during a Trading Day EXC22: Information on the results of Derivatives Trading during a Trading day;	Tuesday - Friday	Based on the results of the trading day	0_EXC_YYYY-MM-DD 0_EXC_ YYYY-MM-DD_CORRECTION
EXC02: Information on Agreements (Options) Concluded by a Trading Member during a Trading Day; EXC03. Information on Agreements Concluded by a Trading Member during a Trading Day (extract from the order register).	Monday	For Contracts concluded on the calendar day of Saturday, which constitutes the additional morning trading session of the Monday Trading Day	EXC_YYYY-MM-DD EXC_YYYY-MM-DD_CORRECTION
EXC02: Information on Agreements (Options) Concluded by a Trading Member during a Trading Day; EXC03. Information on		For Contracts concluded on the calendar day of Sunday, which constitutes the	EXC_YYYY-MM-DD EXC_YYYY-MM-DD_CORRECTION

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Agreements Concluded by a Trading Member during a Trading Day (extract from the order register).		additional morning trading session of the Monday Trading Day	
EXC02: Information on Agreements (Options) Concluded by a Trading Member during a Trading Day; EXC03. Information on Agreements Concluded by a Trading Member during a Trading Day (extract from the order register). EXC21. Information on Trading Results during a Trading Day EXC22: Information on the results of Derivatives Trading during a Trading day;		For Contracts entered into during the additional morning and main trading sessions. (EXC02, EXC03 don't contain information on Contracts entered into on the calendar days of Saturday and Sunday, which constitute the additional morning trading session of the Monday Trading Day)	EXC_YYYY-MM-DD EXC_YYYY-MM-DD_CORRECTION
EXC82. Notification on Registered Codes/ Short Codes of Trading Member Clients and/or the Trading Member.	Tuesday - Friday	Based on the results of the trading day	EXC82_ YYYY-MM-DD EXC82_ YYYY-MM-DD_CORRECTION
EXC82. Notification on Registered Codes/ Short Codes of Trading Member Clients and/or the Trading Member.	Monday	Based on the results of Trading on the calendar day of Saturday, which constitutes the additional morning trading session of the Monday Trading Day	EXC82_ YYYY-MM-DD EXC82_ YYYY-MM-DD_CORRECTION
EXC82. Notification on Registered Codes/ Short Codes of Trading Member Clients and/or the Trading Member.		Based on the results of Trading on the calendar day of Sunday, which constitutes the additional morning trading session of the Monday Trading Day	EXC82_ YYYY-MM-DD EXC82_ YYYY-MM-DD_CORRECTION
EXC82. Notification on Registered Codes/ Short Codes of Trading Member Clients and/or the Trading Member.		Based on the results of Trading on the calendar day of Monday (does not include information regarding changes made on Saturday and Sunday)	EXC82_ YYYY-MM-DD EXC82_ YYYY-MM-DD_CORRECTION
Reports provided to the SubBroker: EXC02: Information on Agreements (Options) Concluded by a Trading Member during a Trading Day; EXC03. Information on Agreements Concluded by a Trading Member during a Trading Day (extract from the	Tuesday - Friday	Based on the results of the trading day	0_EXC_ YYYY-MM-DD_SUB 0_EXC_YYYY-MM-DD_CORRECTION_SUB

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order register).			
Reports provided to the SubBroker: EXC02: Information on Agreements (Options) Concluded by a Trading Member during a Trading Day; EXC03. Information on Agreements Concluded by a Trading Member during a Trading Day (extract from the order register).	Monday	For Contracts concluded on the calendar day of Saturday, which constitutes the additional morning trading session of the Monday Trading Day	EXC_YYYY-MM-DD_SUB EXC_YYYY-MM-DD_CORRECTION_SUB
Reports provided to the SubBroker: EXC02: Information on Agreements (Options) Concluded by a Trading Member during a Trading Day; EXC03. Information on Agreements Concluded by a Trading Member during a Trading Day (extract from the order register).		For Contracts concluded on the calendar day of Sunday, which constitutes the additional morning trading session of the Monday Trading Day	EXC_YYYY-MM-DD_SUB EXC_YYYY-MM-DD_CORRECTION_SUB
Reports provided to the SubBroker: EXC02: Information on Agreements (Options) Concluded by a Trading Member during a Trading Day; EXC03. Information on Agreements Concluded by a Trading Member during a Trading Day (extract from the order register).		For Contracts entered into during the additional morning and main trading sessions. As of the close of the main trading session. (EXC02, EXC03 don't contain information on Contracts entered into on the calendar days of Saturday and Sunday, which constitute the additional morning trading session of the Monday Trading Day)	EXC_YYYY-MM-DD_SUB EXC_YYYY-MM-DD_CORRECTION_SUB
Reports provided to the SubBroker EXC82. Notification on Registered Codes/ Short Codes of Trading Member Clients and/or the Trading Member.	Tuesday - Friday	Based on the results of the trading day	EXC82_YYYY-MM-DD_SUB EXC82_YYYY-MM-DD_CORRECTION_SUB
Reports provided to the SubBroker EXC82. Notification on Registered Codes/ Short Codes of Trading Member Clients and/or the Trading Member.	Monday	Based on the results of Trading on the calendar day of Saturday, which constitutes the additional morning trading session of the Monday Trading Day	EXC82_YYYY-MM-DD_SUB EXC82_YYYY-MM-DD_CORRECTION_SUB
Reports provided to the SubBroker EXC82. Уведомление о		Based on the results of Trading on the calendar day of	EXC82_YYYY-MM-DD_SUB EXC82_YYYY-MM-

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зарегистрированных кодах/кратких кодах клиентов Участника торгов и (или) Участника торгов		Sunday, which constitutes the additional morning trading session of the Monday Trading Day	DD_CORRECTION_SUB
Reports provided to the SubBrokerEXC82. Notification on Registered Codes/ Short Codes of Trading Member Clients and/or the Trading Member.		Based on the results of Trading on the calendar day of Monday (does not include information regarding changes made on Saturday and Sunday)	EXC82_YYYY-MM-DD_SUB EXC82_YYYY-MM- DD_CORRECTION_SUB
Additional distribution (if necessary)			EXC_REPORT_YYYYMMDD

File names for multi-volume reports are generated as follows:

Identifier_Report _ Code_Report _ Date __ Number of Volumes in the Report __ Sequential Volume Number
where

Identifier - the identifier of the Trading Member assigned upon registration;

Report Date in YYYY-MM-DD format.

1.3. Other information:

The following EDMS address is used for document exchange: ITSKK@CLIENT

Document Type	File Name Prefix for Incoming Document ¹	File Name Prefix for Outgoing Document from ITS
Letter in format doc, docx, pdf Attachment In format doc, docx, pdf, zip, xls, xlsx, csv, txt, xml	LETTER_ ANSWER_LETTER_ ATTACHMENT_ ²	LETTER_ ANSWER_LETTER_ ATTACHMENT_ ¹
Rejection of incorrectly submitted documents		REJECTED_ GENERIC_ERROR_

¹ После префикса дальнейшее заполнение имени сообщения свободное.

² If there is more than one attachment to the Letter, it is recommended to indicate the item number (Attachment_1_, Attachment_2_, Attachment_3_, etc.)

Application is submitted on corporate letterhead

To the Senior Executive Officer of
International Trading System Limited

« _____ » _____ 20 _____

**Application
for Provision of Extract from the Order Register**

Hereby

full name of the company with the indication of the legal form

(the Trading Member) requests provision of an extract from the order register regarding the Orders
submitted by the Trading Member during the following term ***/indicate the term, for which the extract is provided/***.

Please provide the extract from the order register¹:

- ☐ In paper format:
 - ☐ Delivery to the following address: ***/indicate the mailing address/***.
 - ☐ Receipt at the ITS location.
- ☐ In form of an electronic document via DES: ***/indicate EDMS address/***

¹ Payment is charged in accordance with tariffs for the services aimed at Qualified Investments trading.

Full name
Title
Signature, seal

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Annex 1b to Procedure
for Additional Report Provision by the ITS
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Application is submitted on corporate letterhead

To the Senior Executive Officer of
International Trading System Limited

« _____ » _____ 20 _____

**Application
for Provision of Information on Orders Submitted by the Trading Member, That Were Not Registered in the
Order Register**

Hereby

full name of the company with the indication of the legal form

(the Trading Member) requests provision of information on the Orders submitted by the Trading Member, that were not registered in the order register, for the following term ***indicate the term, for which the extract is provided***

- ☐ In paper format:
 - ☐ Delivery to the following address: ***/indicate the mailing address/***.
 - ☐ Receipt at the ITS location.
- ☐ In form of an electronic document via DES: ***/indicate EDMS address/***.

Full name
Title
Signature, seal

Annex 1c to Procedure
for Additional Report Provision by the ITS
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Application is submitted on corporate letterhead

To the Senior Executive Officer of
International Trading System Limited

« _____ » _____ 20 _____

**Application
for Provision of Extract from the Agreement Register**

Hereby

full name of the company with the indication of the legal form

(the Trading Member) requests provision of an extract from the agreement register regarding Agreements concluded by the Trading Member for the following term ***indicate the term, for which the extract is provided***.

Please provide the extract from the agreement register¹:

- ☐ In paper format:
 - ☐ Delivery to the following address: ***indicate the mailing address***./
 - ☐ Receipt at the ITS location.
- ☐ In form of an electronic document via DES: ***indicate EDMS address***./

¹ Payment is charged in accordance with tariffs for the services aimed at Qualified Investments trading.

Full name
Title
Signature, seal

Report Forms

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List of changes

Date of change	Description of change
27.06.2024	Report code ITS03 changed to EXC03. Report code ITS21 changed to EXC21. Report code ITS82 changed to EXC82. Reports EXC03, EXC21, EXC82: • The names of elements SPB03, SPB21, SPB82 changed to REPORT; • DOC_TYPE_ID attribute value changed to REPORT; • ReportLang, ReportCode, Volume, VolumeTotal, ReportNumber attributes added to the REPORT element. Report EXC03: • Changed the description of the Decimals attribute; • Removed BoardType attribute; • Removed FirmINN attribute; • Removed CPFirmDetails attribute, CCPShortName, CCPDetails; • InternalTradeModelId attribute added to the RECORDS element. Report EXC21: • Removed BoardType attribute. Report EXC82: • Principal, Type, Details, CountryCode, RegulatorCode attributes added to the RECORDS element.
29.06.2026	Report EXC03: • ClientDetails attribute added to RECORDS element; • Attribute ClientDetails description changed • Trade instrument types 1 and 2 were removed from the TradeInstrumentType attribute. Report EXC82: • Attributes Principal, Details,, CountryCode, RegulatorCode description changed
07.09.2026	Added reports EXC02 and EXC22.
05.10.2026	Report EXC21: • The following attributes have been added to the SECURITY element: SecCurrencyName, AccruedInterestCurrencyID, AccruedInterestCurrencyName, SettlementCurrencyId, SettlementCurrencyName;

The descriptions of the following attributes have been updated: PeriodTotalVolume, PeriodOpenVolume, PeriodLastVolume, AddressPeriodTotalVolume, AddressPeriodOpenVolume, AddressPeriodLastVolume, TotalVolume.

XML Document Formats

Information exchange between client and server applications is effected in form of messages in XML 1.0 format. Full specification of XML 1.0 language is provided in Extensible Markup Language (XML) 1.0 document (the Second Edition) prepared by W3C and published at <http://www.w3.org/TR/REC-xml>.

The chapter contains a brief description of XML 1.0 document structure.

XSD-schemes describing the fields of the reports sent in accordance with the Procedure are presented on the ITS web-site (itsx.kz) in section Services – Reports.

XML Document Prelude

XML Document Prelude is meant to describe global parameters of an XML document, as well as to provide instructions for a program processing this document. Prelude may contain several instructions, each starting with a sequence of symbols `<?` and ending with a sequence of symbols `?>`. The first line of a prelude is always XML declaration with the indication of character coding used in the document. *Prelude example:*

```
<?xml version="1.0" encoding="UTF-8"?>
<?xml-stylesheet type='text/xsl' href='form_individual.xsl'?>
```

, where the first line is XML declaration with the indication of the coding table used, and the second line is the instruction to the program processing the message.

Restriction: document coding must be “UTF-8”.

XML Document Elements

Each XML document contains one or more elements, borders of which are marked either with a pair of starting tag (`<elem>`) and ending tag (`</elem>`), or with an empty element tag (`<elem />`), if an element has no contents. Element contents is the text between the starting and ending tags. Each element has certain type that is understood from its name and is called a "general identifier" of the element. Element type in the ending tag must correspond to the element type in the starting tag. Each element may have a set of attribute specifications.

Each attribute specification has its name and value. Attribute name can be included in the starting tag or empty element tag just once.

Example of a non-empty element without attributes:

```
<elem> element contents </elem>
```

Example of an empty element with 2 attributes:

```
<elem attr1="attribute 1 value" attr2="attribute 2 value" />
```

XML document must always contain only one root element that includes any number of other elements. Each element may contain any number of other elements. Such documents are called child elements of a given element.

Example of a child element:

```
<parent>
<child> child element contents </child>
</parent>
```

XML Document Element Attributes

Each element may contain any number of attributes. Attributes are meant to transfer additional information on the element. Attributes can be located exclusively in starting tags and empty element tags immediately after the element name in form of attribute-value pairs. Attribute values may not contain characters of left angle bracket (<), right angle bracket (>), ampersand (&), apostrophe (') or double quote ("). These characters are coded with "<," ">," "&," "'," and ""," sequences, respectively.

Example of an empty element with an attribute:

```
<elem attr="attribute value" />
```

Designations

In the tables describing applied and service elements, M character in M/O column means, that relevant attribute or subelement is mandatory, and O character means, that it is optional. If any element is not indicated, its attributes are not indicated either, even if marked as mandatory.

Report specification version number, in which this element/attribute is introduced, is indicated in the Rev column.

Data Types

Type	Description
Integer	An Integer Value
Boolean	A Boolean Value (True or False)
Numeric(M,N)	A fixed-point real value
Char	One character (Cyrillic characters not allowed)
String(M-N)	A String Value (Cyrillic characters not allowed) M-minimum allowed number of characters. If it is not indicated, the field has fixed length N-maximum allowed number of characters
WString(M-N)	A String Value including Cyrillic characters M-minimum allowed number of characters. If it is not indicated, the field has fixed length N-maximum allowed number of characters
Date	Date. A line in format: YYYY-MM-DD
Time	Time. A line in format: hh:mm:ss
DateTime	Date and time. A line in format: YYYY-MM-DD hh:mm:ss

EDMS Documents in XML Format

For messages of EDMS Electronic Document Management System in XML format, root element has the value of **RTS_DOC**.

Each EDMS message has the following structure:

Element Name	Attribute Name	Description	M/O	Type
RTS_DOC		XML document root element.	M	
DOC_REQUISITES		Block of information on the document:	M	
	DOC_DATE	Date of the document compilation.	M	Date
	DOC_TIME	Time of the document compilation.	M	Time
	DOC_NO	Document ID in EDMS system.	M	String(0-20)
	DOC_TYPE_ID	Document type ID in EDMS system.	M	String(0-20)
	SENDER_ID	EDMS sender code.	M	String(3-7)
	SENDER_NAME	Short name of the sender.	O	WString(0-512)
	RECEIVER_ID	Receiver EDMS code.	M	String(3-7)
	REMARKS	Text of notes to the document.	O	WString(0-120)
/DOC_REQUISITES			O	
<Document type ID in DES system/>		Child element containing business-data of the document.	M	Element
/RTS_DOC		Root element.	M	

Report Format Specification

EXC02: Information on Agreements (Options) Concluded by a Trading Member during a Trading Day

Название элемента	Название атрибута	Описание	M/O	Тип
RTS_DOC		XML document root element.	M	
DOC_REQUISITES		Block of information on the document:	M	
	DOC_DATE	Date of the document compilation.	M	Date
	DOC_TIME	Time of the document compilation.	M	Time
	DOC_NO	Document ID in the reporting system.	M	String(0-20)
	DOC_TYPE_ID	REPORT	M	String(0-20)
	SENDER_ID	ITSKK	M	String(3-7)
	SENDER_NAME	Short name of the sender.	O	WString(0-512)
	RECEIVER_ID	Receiver EDMS code.	M	String(3-7)
	REMARKS	Text of notes to the document. Document containing no information contains text "No data as of the reporting date".	O	WString(0-120)
/DOC_REQUISITES			M	
REPORT		Block of report data:	M	
DOC_INFO				
	ReportLang	RU/EN	M	String(0-2)
	ReportCode	EXC03	M	String(0-20)
	ReportDate	Report date.	M	Date
	ReportDesc	Report name.	O	WString(0-512)
	ReportVersion	Report format version.	O	String(1-3)
	Weekday	Day of the week for the report date.	O	WString(0-20)
	FirmId	Trading Member ID.	M	String(0-16)
	FirmName	Short name of Trading Member	O	WString(0-512)
	Volume	Report volume number	O	Integer
	VolumeTotal	Total volumes in the report	O	Integer

	ReportNumber	Continuous report number, uniform for all report volumes	O	String(0-20)
/DOC_INFO				
CLRACC		Block of data on TCA:	M	
	ClrAccCode	TCA code	M	String(0-12)
CURRENCY		Section of data on the Premium Currency	M	
	CurrencyId	Premium Currency Identifier	M	String(0-12)
	CurrencyName	Premium Currency name	O	WString(0-30)
BOARD		Block of data on the Qualified Investment Group:	M	
	BoardId	Qualified Investment Group ID: • OPTION – options	M	String(0-16)
	BoardName	Qualified Investment Group ID: • Options	O	WString(0-128)
SETTLEDATE		Section of data on the premium settlement date	M	
	SettleDate	Actual premium settlement date	M	Date
BASEASSETTYPE		Block of data on the underlying asset type	M	
	BaseAssetType	Underlying asset type • CURRENCY - currency • SHARES – shares and depositary receipts • FUTURES - futures • BOND – bonds • INDEX - index	M	String(0-20)
BASEASSET		Block of data on the underlying asset		
	BaseAssetDetailsType	Underlying asset identifier type: ISIN – for securities; SYMBOL – For all other underlying asset types	O	WString(0-32)
	BaseAssetDetails	Underlying asset identifier	O	WString(0-64)
	BaseAssetCode	Underlying asset code	M	WString(0-20)
	BaseAssetName	Underlying asset name	O	WString(0-120)
	BaseAssetSection	Underlying instrument group	O	WString(0-20)
CONTRACT		Block of data on the option		
	ExecutionType	Option exercise style (American / European): «E» – european «A» – american	O	Char

	MarginType	Option premium accounting method indicator: P — premium; M — margined.	M	Char
	DeliveryType	Option settlement type: S — cash-settled; D — physically settled.	M	Char
	Lot	Number of units of the underlying asset	O	Numeric(28,8)
OPTIONSERIES		Section of data on the Option series		
	TradeDateStart	Option start date	O	Date
	TradeDateEnd	Last trading day for entering into an option contract (day, month, year)	O	Date
	ExpirationDate	Expiration Date	O	Date
OPTION		Block of data on the option	M	
	OptionCode	Option code	M	String(0-12)
	OptionName	Option name	M	WString(0-120)
	OptionType	Option type (call / put): P – PUT C – CALL	M	Char
	Strike	Option strike price, in the option price currency	O	Numeric(28,8)
	IsCentralStrike	Central Strike Indicator: N — Non-central Y — Central	M	Char
RECORDS		Block of data on the Agreement:	M	
	RecNo	Sequence number in the report.	M	Integer
	TradeNo	Agreement number in the Trading System.	M	Integer
	TradeDateOfficial	Trading date	M	Date
	TradeDate	Agreement registration date.	M	Date
	TradeTime	Time of Agreement registration in the Trading System.	M	Time
	TradePeriod	Trading session: • MAIN – The main trading session. • EVE – Additional evening trading session. • MORN – Additional morning trading session.	M	String(1-5)

	PrimaryOrderID	Order number assigned by the ITS	O	Integer
	OrderID	Order number.	O	Integer
	OrderType	Order type: • Market Order (MARKET) = 1. • Limit Order (LIMIT) = 2. • Negotiated Order (NEGOTIATED) = 103. • Additional Liquidity Order(OUT-OF-BOOK) = 104.	O	Integer
	UserId	Username of the Trading Member having submitted the Order	O	String(0-16)
	Comment	Comment.	O	WString(0-64)
	IsMM	Sign of an Order submitted to fulfil obligations of the Market Maker: •Y - Order submitted to fulfil obligations of the Market Maker; •N – sign of the Order submitted to fulfil obligations of the Market Maker, not indicated.	O	Char
	BuySell	Order direction (buying (B) / selling (S)).	M	Char
	SettleCode	Settlement code of a trading Qualified Investment.	O	String(1-16)
	TradeType	Agreement type: T – concluded on the basis of a public Order. N – concluded on the basis of a targeted Order. D – concluded without submission of an Order.	O	Char
	InternalTradeModelId	Trading mode id	M	Integer
	TradeModelId	Trading mode ID: 21 – Derivatives Main trading mode 22 – Derivatives negotiated transaction mode 23 – Derivatives RFQ trading mode	O	Integer
	TradeModeName	Trading mode name: • Derivatives Main trading mode • Derivatives negotiated transaction mode • Derivatives RFQ trading mode	M	WString(0-128)
	Decimals	Significant decimal places in prices	O	Integer

	Price	Option price / Option premium (in points / in the option's price currency)	M	Numeric(28,8)
	Margin	Variation Margin	O	Numeric(28,8)
	Quantity	Agreement scope, in lots/instruments	M	Numeric(28,8)
	Value	Agreement scope, in price currency	M	Numeric(28,8)
	Amount	Premium obligation / claim, in the settlement currency	M	Numeric(28,8)
	Balance	Options obligation, in units	M	Numeric(28,8)
	ExchComm	Trade fee in the currency of settlement	O	Numeric(28,8)
	ClrComm	Clearing commission in the settlement currency	O	Numeric(28,8)
	ClientCode	Client short code	O	String(0-12)
	ClientDetails	Client's identification data	O	WString(0-256)
	CcpCode	Central Counterparty Code.	O	String(0-16)
	CPFirmId	Counterparty ID only for negotiated transactions	O	String(0-16)
	CPFirmShortName	Short name of the counterparty (only for negotiated transactions).	O	WString(0-512)
	OtcCodeInitiator	ID of negotiated transactions of the Trading Member having submitted the Order (only for targeted Orders)	O	String(0-16)
	OtcCodeConfirmator	ID of negotiated transactions of the Trading Member, to which the Order is addressed (only for targeted Orders)	O	String(0-16)
/RECORDS			M	
/OPTION			M	
/OPTIONSERIES			M	
/CONTRACT			M	
/BASEASSET			M	
/BASEASSETTYPE			M	
/SETTLEDATE			M	
/BOARD			M	
/CURRENCY			M	
/CLRACC			M	

/REPORT			M	
/RTS_DOC			M	

EXC03: Information on Agreements Concluded by a Trading Member during a Trading Day

- Compiled at the end of a Trading Day
- For Repurchase Agreements, both parts are displayed
- Includes Agreements of the main and additional sessions of a Trading Day

Element Name	Attribute Name	Description	M/O	Type
RTS_DOC		XML document root element.	M	
DOC_REQUISITES		Block of information on the document:	M	
	DOC_DATE	Date of the document compilation.	M	Date
	DOC_TIME	Time of the document compilation.	M	Time
	DOC_NO	Document ID in the reporting system.	M	String(0-20)
	DOC_TYPE_ID	REPORT	M	String(0-20)
	SENDER_ID	ITSKK	M	String(3-7)
	SENDER_NAME	Short name of the sender.	O	WString(0-512)
	RECEIVER_ID	Receiver EDMS code.	M	String(3-7)
	REMARKS	Text of notes to the document. Document containing no information contains text "No data as of the reporting date".	O	WString(0-120)
/DOC_REQUISITES			M	
REPORT		Block of report data:	M	
DOC_INFO				
	ReportLang	RU/EN	M	String(0-2)
	ReportCode	EXC03	M	String(0-20)
	ReportDate	Report date.	M	Date
	ReportDesc	Report name.	O	WString(0-512)
	ReportVersion	Report format version.	O	String(1-3)
	Weekday	Day of the week for the report date.	O	WString(0-20)
	FirmId	Trading Member ID.	M	String(0-16)
	FirmName	Short name of Trading Member	O	WString(0-512)
	Volume	Report volume number	O	Integer

	VolumeTotal	Total volumes in the report	O	Integer
	ReportNumber	Continuous report number, uniform for all report volumes	O	String(0-20)
/DOC_INFO				
CLRACC		Block of data on TCA:	M	
	ClrAccCode	TCA code.	M	String(0-12)
SUBCLRACC		Block of data on TCA subsection (not indicated for agreements regarding main TCA):	O	
	SubClrAccCode	TCA subsection code.	O	String(0-16)
CURRENCY		Block of data on price currency:	M	
	CurrencyId	Price currency ID.	M	String(0-12)
	CurrencyName	Price currency name.	O	WString(0-30)
BOARD		Block of data on the Qualified Investment Group:	M	
	BoardId	Qualified Investment Group ID: • EQF — Foreign securities; • EBOND — Eurobonds; • CRCY_F – Foreign currency; • EQCIS — Foreign securities of issuers from CIS countries; • OTC — OTC Qualified Investments; • OTCBOND – OTC Qualified Investments (bonds)	M	String(0-16)
	BoardName	Qualified Investment Group name: • Foreign securities; • Eurobonds; • Foreign currency; • Foreign securities of issuers from CIS countries; • OTC Qualified Investments • OTC Qualified Investments (bonds)	O	WString(0-128)
SETTLEDATE		Block of data on the settlement date:	M	
	SettleDate	Actual settlement date (exercise date).	M	Date
SECURITY		Block of data on the Qualified Investment:	M	
	SecurityId	Qualified Investment ID.	M	String(0-32)
	SecShortName	Short name of the Qualified Investment.	M	WString(0-256)
	ISIN	International Qualified Investment identifier.	O	String(0-32)
	RegNumber	State registration number.	O	WString(0-64)

	FaceValue	Face value.	O	Numeric(28,8)
	SecCurrencyId	Face value currency.	O	String(0-12)
	SecurityType	Qualified Investment category: 101 – Ordinary shares or REIT. 102 – Preferred shares. 105 – ETF. 107 – ADR. 108 – GDR. 110 – Mortgage Note. 201 – Government bonds. 202 – Municipal bonds. 204 – Corporate bonds. 205 – Credit institution bonds. 206 – Exchange bonds.	O	Integer
	PriceType	CASH – price in settlement currency. PERC – price as percentage of the face value.	M	String(0-4)
RECORDS		Block of data on the Agreement:	M	
	RecNo	Sequence number in the report.	M	Integer
	TradeNo	Agreement number in the Trading System.	M	Integer
	TradeNoExtra	Additional Agreement number for a linked pair of agreements.	O	Integer
	TradeDate	Agreement registration date.	M	Date
	TradeTime	Time of Agreement registration in the Trading System.	M	Time
	TradePeriod	Trading session: - MAIN – The main trading session. - EVE – Additional evening trading session. - MORN – Additional morning trading session.	M	String(0-5)

	SpecialPeriod	Trading periods: • CLOSE – Closing auction. • EXTRA – Standard additional liquidity period. • EXTRA_HIGH –High additional liquidity period. • NO EXTRA – No additional liquidity period. • EXTRA_AFTERMARKET – Additional liquidity period.	O	String(0-32)
	PrimaryOrderID	Order number assigned by the ITS	O	Integer
	OrderID	Order number.	O	Integer
	OrderType	Order type: • Market Order (MARKET) = 1. • Limit Order (LIMIT) = 2. • Negotiated Order (NEGOTIATED) = 103. • Additional Liquidity Order(OUT-OF-BOOK) = 104. • Closing Auction Order (CLOSING_AUCTION) = 123. • Offset Order (CLOSING_OFFSET) = 124. • Quotation Order (PROTO_RFQ_INITIATE) =125. • Non-Quotation Order (PROTO_RFQ_CONFIRM) = 126.	O	Integer
	UserId	Username of the Trading Member having submitted the Order	O	String(0-16)
	Comment	Comment.	O	WString(0-64)
	IsMM	Sign of an Order submitted to fulfil obligations of the Market Maker: •Y - Order submitted to fulfil obligations of the Market Maker; •N – sign of the Order submitted to fulfil obligations of the Market Maker, not indicated.	O	Char
	BuySell	Order direction (buying (B) / selling (S)).	M	Char
	SettleCode	Settlement code of a trading Qualified Investment.	O	String(0-16)

	TradeType	Agreement type: T – concluded on the basis of a public Order. N – concluded on the basis of a targeted Order. D – concluded without submission of an Order.	O	Char
	TradeInstrumentType	Trading Qualified Investment type: 3 - Qualified Investments with partial coverage. 4 - Repurchase agreements. 5 – Pair of sale and purchase agreements. 9 – Qualified Investments with full coverage.	M	Integer
	TradeModeId	Trading mode (mode number): • 1 – Main Trading Mode. • 2 – Negotiated Transaction Mode. • 3 – Negotiated repurchase with the Central Counterparty • 10 – RFQ Trading Mode. • 12 – Open-market repurchase with the Central Counterparty	M	Integer
	TradeModeName	Trading Mode name: • Main Trading Mode. • Negotiated Transaction Mode. • Negotiated repurchase with the Central Counterparty • RFQ Trading Mode. • Open-market repurchase with the Central Counterparty	O	WString(0-128)
	Decimals	Significant decimal places in prices (non-zero).	O	Integer
	Price	Price per Qualified Investment unit.	M	Numeric(28,8)
	Quantity	Amount of the Agreement, in lots.	M	Numeric(28,8)
	Value	Amount of the Agreement, in price currency.	M	Numeric(28,8)

	Amount	Liability in terms of funds, in price currency.	M	Numeric(28,8)
	Balance	Liability under Qualified Investments.	M	Numeric(28,8)
	ExchComm	Commission for trading, in price currency (in face value currency for bonds).	O	Numeric(28,8)
	ClrComm	Commission for agreement clearing, in price currency (in face value currency for bonds).	O	Numeric(28,8)
	ClientCode	Client short code. Can be omitted in Agreements concluded to settle a default or fulfil.	O	WString(0-12)
	ClientDetails ¹	Client identification data	O	WString(0-256)
	CcpCode	Central Counterparty Code.	O	String(0-16)
	CPFirmId	Counterparty ID only for negotiated transactions	O	String(0-16)
	CPFirmShortName	Short name of the counterparty (only for negotiated transactions).	O	WString(0-512)
	OtcCodeInitiator	ID of negotiated transactions of the Trading Member having submitted the Order (only for targeted Orders)	O	String(0-16)
	OtcCodeConfirmator	ID of negotiated transactions of the Trading Member, to which the Order is addressed (only for targeted Orders)	O	String(0-16)
	AccInt	Accumulated coupon income calculated in accordance with the amount of asset being the subject matter of the Agreement, in price currency.	O	Numeric(28,8)
	Price2	Price of the second part of Repurchase Agreement.	O	Numeric(28,8)
	RepoRate	Repurchase rate.	O	Numeric(28,8)

¹ If a Trading Member has a client who is a Subbroker, the Subbroker's client data (except for the Short client code) is not included in the report sent to the Trading Member.

	RepoPart	Part of Repurchase Agreement: 1 – the first part of Repurchase Agreement. 2 – the second part of Repurchase Agreement.	O	Integer
	RepoPeriod	Repurchase term in calendar days.	O	Integer
	Type	Type of Agreement concluded to settle a default or fulfil obligations under agreements with partial Qualified Investment: 1 – Repurchase Agreement in case of default of a Trading Member. 2 – Sale and purchase agreement in case of default of a Trading Member. 3 – Repurchase Agreement in case of Clearing Organisation default 4 – Sale and purchase agreement in case of Clearing Organisation default. 5 – Repurchase Agreement concluded by Clearing Organisation to raise assets for default settlement. 6 – Sale and purchase agreement concluded by Clearing Organisation to forcibly close position of a Trading Member 7 – Sale and purchase agreement concluded to fulfil obligations (Agreement concluded to transfer position to another trading operator). 9 – Agreement concluded as a result of option contract fulfilment.	O	Integer
	StampDuty	Transaction Tax equivalent	O	Numeric(28,8)
	StampDutyPrice	Closing price used to calculate Transaction Tax equivalent under transaction	O	Numeric(28,8)
	InternalTradeModelId	Internal identifier of the trading mode in the Trading system	O	Integer
/RECORDS			M	
/BOARD			M	
/SECURITY			M	

/SETTLEDATE			M	
/CURRENCY			M	
/SUBCLRACC			M	
/CLRACC			M	
/REPORT			M	
/RTS_DOC			M	

EXC21: Information on Trading Results during a Trading Day

- Compiled at the end of a Trading Day

Element Name	Attribute Name	Description	M/O	Type
RTS_DOC		XML document root element.	M	
DOC_REQUISITES		Block of information on the document:	M	
	DOC_DATE	Date of the document compilation.	M	Date
	DOC_TIME	Time of the document compilation.	M	Time
	DOC_NO	Document ID in EDMS system.	M	String(0-20)
	DOC_TYPE_ID	REPORT	M	String(0-20)
	SENDER_ID	ITSKK	M	String(3-7)
	SENDER_NAME	Short name of the sender.	O	WString(0-512)
	RECEIVER_ID	Receiver EDMS code.	M	String(3-7)
	REMARKS	Text of notes to the document.	O	WString(0-120)
/DOC_REQUISITES			M	
REPORT21		Block of report data:	M	
DOC_INFO				
	ReportLang	RU/EN	M	String(0-2)
	ReportCode	EXC21	M	String(0-20)
	TradeDate	Trading date.		Date
	ReportDesc	Report name.	O	WString(0-512)
	ReportVersion	Report format version.	O	String(1-3)
	Weekday	Day of the week for the report date.	O	WString(0-20)
	Volume	Report volume number	O	Integer
	VolumeTotal	Total volumes in the report	O	Integer
	ReportNumber	Continuous report number, uniform for all report volumes	O	String(0-20)
/DOC_INFO				
BOARD		Block of data on the Qualified Investments:	M	
	BoardId	Qualified Investment Group ID: - EQF — Foreign securities; - EBOND — Eurobonds; - CRCY_F — Foreign currency;	M	Wstring(0-16)

		• EQCIS — Foreign securities of issuers from CIS countries; • OTC — OTC Qualified Investments; • OTCBOND – OTC Qualified Investments (bonds).		
	BoardName	Qualified Investment Group name. • Foreign securities; • Eurobonds; • Foreign currency; • Foreign securities of issuers from CIS countries; • OTC Qualified Investments • OTC Qualified Investments (bonds)	O	WString(0-128)
SECURITY		Block of data on Qualified Investment:		
	SecurityId	Qualified Investment ID (code).	M	String(0-32)
	SecShortName	Short name of the Qualified Investment.	M	WString(0-256)
	ISIN	International Qualified Investment identifier (ISIN).	O	String(0-32)
	RegNumber	State registration number of the issue.	O	WString(0-64)
	FaceValue	Face value.	O	Numeric(28,8)
	SecCurrencyId	Qualified Investment face value currency code	O	String(0-12)
	SecCurrencyName	Qualified Investment face value currency name		
	SecurityType	Qualified Investment type and kind: • Ordinary shares or REIT. • Preferred shares. • ETF. • ADR. • GDR. • Mortgage Note. • Government bonds. • Municipal bonds. • Corporate bonds. • Credit institution bonds. • Exchange bonds.	O	WString(0-128)
	IssuerName	Issuer name.	O	WString(0-512)
	IssuerDetails	Taxpayer Identification Number of the issuer (managing company).	O	String(0-256)
	QuoteList	Quotation list.	O	WString(0-64)

	Decimal	Significant decimal places in prices (non-zero).	O	Integer
	CurrencyId	Price currency code.	M	String(0-12)
	CurrencyName	Price currency name.	O	String(0-30)
	AccruedInterest	ACI as of the report date for bonds.	O	Numeric(28,8)
	AccruedInterestCurrencyID	Accrued interest currency code	O	String(0-12)
	AccruedInterestCurrencyName	Accrued interest currency name	O	String(0-30)
	SettlementCurrencyId	Settlement currency code	M	String(0-12)
	SettlementCurrencyName	Settlement currency name	O	String(0-30)
TRADE_PERIOD		Block of data on the trading term:		
	TradePeriod	Trading session: • MAIN - the main trading session. • EVE - additional evening trading session. • MORN - additional morning trading session.	M	String(0-4)
MARKET_TRADE		Block of data on the trading term	M	
	SettType	Settlement code.	M	String(0-4)
	TradeMode	Trading Mode name.	M	WString(0-128)
	PeriodTotalAmount	Total amount of Qualified Investments under Agreements concluded, pcs.	O	Numeric(28,8)
	PeriodTotalVolume	Total amount of Agreements, settlement currency	O	Numeric(28,8)
	PeriodTotalCount	Total number of Agreements concluded, pcs.	O	Numeric(20,0)
	PeriodOpenPrice	First Agreement, price, price currency.	O	Numeric(28,8)
	PeriodOpenVolume	First Agreement, amount, settlementcurrency.	O	Numeric(28,8)
	PeriodLastPrice	Last Agreement, price, price currency.	O	Numeric(28,8)
	PeriodLastVolume	Last Agreement, amount, settlement currency.	O	Numeric(28,8)
	PeriodCurrentPrice	Last current price, price currency.	O	Numeric(28,8)
	PeriodMaxDealPrice	Maximum Agreement price during the term, Qualified Investment currency	O	Numeric(28,8)
	PeriodMinDealPrice	Minimum Agreement price during the term, Qualified Investment currency.	O	Numeric(28,8)
	PeriodWAPrice	Average weighted price, price currency.	M	Numeric(28,8)
/MARKET_TRADE				
ADDRESS_TRADE		Block of data on Agreements concluded on the basis of targeted Orders:	M	

	SettType	Settlement code.	M	String(0-4)
	TradeMode	Trading Mode name.	M	WString(0-128)
	AddressPeriodTotalAmount	Total amount of Qualified Investments under Agreements concluded, pcs.	O	Numeric(28,8)
	AddressPeriodTotalVolume	Total amount of Agreements, settlement currency.	O	Numeric(28,8)
	AddressPeriodTotalCount	Total number of Agreements concluded, pcs.	O	Numeric(20,0)
	AddressPeriodOpenPrice	First Agreement, price, price currency.	O	Numeric(28,8)
	AddressPeriodOpenVolume	First Agreement, amount, settlement currency.	O	Numeric(28,8)
	AddressPeriodLastPrice	Last Agreement, price, price currency.	O	Numeric(28,8)
	AddressPeriodLastVolume	Last Agreement, amount, settlement currency.	O	Numeric(28,8)
	AddressPeriodCurrentPrice	Last current price, price currency.	O	Numeric(28,8)
	AddressPeriodMaxDealPrice	Maximum Agreement price during the term, price currency.	O	Numeric(28,8)
	AddressPeriodMinDealPrice	Minimum Agreement price during the term, price currency.	O	Numeric(28,8)
	AddressPeriodWAPrice	Average weighted price, price currency.	O	Numeric(28,8)
/ADDRESS_TRADE				
/TRADE_PERIOD				
RESULT		Block of data on final prices (Main Trading Mode)	M	
	TotalAmount	Total amount of Qualified Investments under Agreements concluded during a Trading Day in the Main Trading Mode, pcs.	O	Numeric(28,8)
	TotalVolume	Total amount of Agreements during a Trading Day in the Main Trading Mode, settlement currency.	O	Numeric(28,8)
	TotalDealCount	Total number of Agreements during a day in the Main Trading Mode.	O	Numeric(20,0)
	MaxDealPrice	Maximum price of Agreements during a day, price currency.	O	Numeric(28,8)
	MinDealPrice	Minimum price of Agreements during a day, price currency.	O	Numeric(28,8)
	ClosePrice	Closing price of a Trading Day, Qualified Investment currency.	O	Numeric(28,8)
	PrevClose	Closing price of the previous Trading Day, price currency.	O	Numeric(28,8)

	TrendClose	Change in the closing price of a Trading Day as compared to the closing price of the previous Trading Day, %.	O	Numeric(28,8)
	WAPrice	Average weighted price, Qualified Investment currency.	O	Numeric(28,8)
	CurrentPrice	Current price, price currency.	O	Numeric(28,8)
	AdmittedQuote	Admitted quotation, Qualified Investment currency.	O	Numeric(28,8)
	AdmittedQuoteVolume	Amount of Agreements for admitted quotation calculation, price currency.	O	Numeric(28,8)
	MarketPrice2	Market price (2), price currency.	O	Numeric(28,8)
	MP2Volume	Amount of Agreements for market price calculation (2), price currency.	O	Numeric(28,8)
	MarketPrice3	Market price (3), Qualified Investment currency.	O	Numeric(28,8)
	MP3Volume	Amount of Agreements for market price calculation (3), price currency.	O	Numeric(28,8)
	ClearingPrice	Settlement price provided by Clearing Organisation, price currency.	O	Numeric(28,8)
/RESULT				
/SECURITY				
/BOARD				
/REPORT				

EXC22: Information on the results of Derivatives Trading during a Trading day

Is generated based on the results of the Trading Day

Element name	Attribute name	Description	M/O	Type
RTS_DOC		XML document root element.	M	
DOC_REQUISITES		Block of information on the document:	M	
	DOC_DATE	Date of the document compilation.	M	Date
	DOC_TIME	Time of the document compilation.	M	Time
	DOC_NO	Document ID in the reporting system.	M	String(0-20)
	DOC_TYPE_ID	REPORT	M	String(0-20)
	SENDER_ID	ITSKK	M	String(3-7)
	SENDER_NAME	Short name of the sender.	O	WString(0-512)
	RECEIVER_ID	Receiver EDMS code.	M	String(3-7)
	REMARKS	Text of notes to the document. Document containing no information contains text "No data as of the reporting date".	O	WString(0-120)
/DOC_REQUISITES			M	
REPORT		Block of report data:	M	
DOC_INFO				
	ReportLang	RU/EN	M	String(0-2)
	ReportCode	EXC22	M	String(0-20)
	ReportDate	Report date.	M	Date
	ReportDesc	Report name.	O	WString(0-512)
	ReportVersion	Report format version.	O	String(1-3)
	Weekday	Day of the week for the report date.	O	WString(0-20)
	Volume	Trading Member ID.	O	Integer
	VolumeTotal	Short name of Trading Member	O	Integer
	ReportNumber	Report volume number	O	String(0-20)
/DOC_INFO				
BOARD		Block of data on the Qualified Investment Group:	M	
	BoardId	Qualified Investment Group ID: • OPTION – options	M	String(0-16)
	BoardName	Qualified Investment Group ID: • Options	O	WString(0-128)
BASEASSETTYPE		Block of data on the underlying asset type		

	BaseAssetType	Underlying asset type • CURRENCY - currency • SHARES – shares and depositary receipts • FUTURES - futures • BOND – bonds • INDEX - index	M	String(0-20)
BASEASSET		Block of data on the underlying asset		
	BaseAssetDetailsType	Underlying asset identifier type: ISIN – for securities; SYMBOL – For all other underlying asset types	O	WString(0-32)
	BaseAssetDetails	Underlying asset identifier	O	WString(0-64)
	BaseAssetCode	Underlying asset code	M	WString(0-20)
	BaseAssetName	Underlying asset name	O	WString(0-120)
	BaseAssetSection	Underlying instrument group	O	WString(0-20)
CONTRACT		Block of data on the Derivatives agreement		
	ExecutionType	Option exercise style (American / European): «E» – european «A» – american	O	Char
	MarginType	Option premium accounting method indicator: P — premium; M — margined.	M	Char
	DeliveryType	Option settlement type: S — cash-settled; D — physically settled.	M	Char
	Lot	Number of units of the underlying asset	O	Numeric(28,8)
	MinStep	Price step	O	Numeric(28,8)
	StepPrice	Price step value	O	Numeric(28,8)
	PointRate	Point value	O	Numeric(28,8)
	LocalCourse	Central Bank exchange rate as of the trading date	O	Numeric(28,8)
	CurrencyId	Premium Currency Identifier	M	String(0-12)
OPTIONSERIES		Section of data on the Option series		
	TradeDateStart	Option start date	O	Date

	TradeDateEnd	Last trading day for entering into an option contract (day, month, year)	O	Date
	ExpirationDate	Expiration Date	O	Date
OPTION		Block of data on the option	M	
	OptionCode	Option code	M	String(0-12)
	OptionName	Option name	M	WString(0-120)
	OptionType	Option type (call / put): P – PUT C – CALL	M	Char
	Strike	Option strike price, in the option price currency	O	Numeric(28,8)
	StrikeCurrency	Currency of the strike price	O	String(0-16)
	IsCentralStrike	Central Strike Indicator: N — Non-central Y — Central	M	Char
TRADE_PERIOD		Block of data on the trading session:		
	TradePeriod	Trading session: • MAIN – The main trading session. • EVE – Additional evening trading session. • MORN – Additional morning trading session	M	String(0-4)
MARKET_TRADE		Block of data on Contracts concluded on the basis of non-addressed Orders:	M	
	PeriodTotalAmount	Total number of Derivative Contracts entered into under the concluded Agreements, units	M	Numeric(20,0)
	PeriodTotalVolume	Total agreement volume in the instrument currency	M	Numeric(28,8)
	PeriodTotalVolumeRub	Total agreement volume, in USD	O	Numeric(20,2)
	PeriodTotalCount	Total Agreements concluded, units	M	Integer
	PeriodLastAmount	Volume of the last Agreement in Derivative Contracts	O	Numeric(20,0)
	PeriodLastPrice	Price of the last Agreement, in points	O	Numeric(28,8)
	PeriodLastPriceRub	Price of the last Agreement, in USD	O	Numeric(28,8)
	PeriodLastVolume	Volume of the last Agreement in the instrument currency	O	Numeric(28,8)
	PeriodLastVolumeRub	Volume of the last Agreement in USD	O	Numeric(20,2)
	PeriodLastDealDate	Date of conclusion of the last Agreement	O	Date
	PeriodLastDealTime	Time of conclusion of the last Agreement	O	Time
	PeriodMaxDealPrice	Maximum price of the Agreement for the period, in points	O	Numeric(28,8)

	PeriodMaxDealPriceRub	Maximum price of the Agreement for the period, in USD	O	Numeric(28,8)
	PeriodMinDealPrice	Minimum price of the Agreement for the period, in points	O	Numeric(28,8)
	PeriodMinDealPriceRub	Minimum price of the Agreement for the period, in USD	O	Numeric(28,8)
/MARKET_TRADE				
ADDRESS_TRADE		Block of data on Contracts concluded on the basis of addressed Orders:	M	
	AddressPeriodTotalAmount	Total number of Derivative Contracts entered into under the concluded Agreements, units	M	Numeric(20,0)
	AddressPeriodTotalVolume	Total agreement volume in the instrument currency	M	Numeric(28,8)
	AddressPeriodTotalVolumeRub	Total agreement volume, in USD	O	Numeric(20,2)
	AddressPeriodTotalCount	Total Agreements concluded, units	M	Integer
	AddressPeriodLastAmount	Volume of the last Agreement in Derivative Contracts	O	Numeric(20,0)
	AddressPeriodLastPrice	Price of the last Agreement, in points	O	Numeric(28,8)
	AddressPeriodLastPriceRub	Price of the last Agreement, in USD	O	Numeric(28,8)
	AddressPeriodLastVolume	Volume of the last Agreement in the instrument currency	O	Numeric(28,8)
	AddressPeriodLastVolumeRub	Volume of the last Agreement in USD	O	Numeric(20,2)
	AddressPeriodLastDealDate	Date of conclusion of the last Agreement	O	Date
	AddressPeriodLastDealTime	Time of conclusion of the last Agreement	O	Time
	AddressPeriodMaxDealPrice	Maximum price of the Agreement for the period, in points	O	Numeric(28,8)
	AddressPeriodMaxDealPriceRub	Maximum price of the Agreement for the period, in USD	O	Numeric(28,8)
	AddressPeriodMinDealPrice	Minimum price of the Agreement for the period, in points	O	Numeric(28,8)
	AddressPeriodMinDealPriceRub	Minimum price of the Agreement for the period, in USD	O	Numeric(28,8)
/ADDRESS_TRADE				
/TRADE_PERIOD				
RESULT		Block of data on closing prices	M	
	TotalAmount	Total number of Derivative Contracts entered into under the concluded Agreements, units	M	Numeric(20,0)
	TotalVolume	Total agreement volume in the instrument currency	M	Numeric(28,8)
	TotalVolumeRub	Total agreement volume for the day, in USD	O	Numeric(20,2)

	MaxDealPrice	Maximum price of the Agreement for the day, in points	O	Numeric(28,8)
	MaxDealPriceRub	Maximum price of the Agreement for the day, in USD	O	Numeric(28,8)
	MinDealPrice	Minimum price of the Agreement for the day, in points	O	Numeric(28,8)
	MinDealPriceRub	Minimum price of the Agreement for the day, in USD	O	Numeric(28,8)
	LastDealAmount	Volume of the last Agreement in Derivative Contracts	O	Numeric(20,0)
	LastDealVolume	Volume of the last Agreement in the instrument currency	O	Numeric(28,8)
	LastDealVolumeRub	Volume of the last Agreement in USD	O	Numeric(20,2)
	LastDealPrice	Price of the last Agreement, in points	O	Numeric(28,8)
	LastDealPriceRub	Price of the last Agreement, in USD	O	Numeric(28,8)
	LastDealDate	Date of conclusion of the last Agreement	O	Date
	LastDealTime	Time of conclusion of the last Agreement	O	Time
	TotalDealCount	Number of Agreements	M	Numeric(20,0)
	FixingPrice	Fixing price, in points	M	Numeric(28,8)
	TheoreticalPrice	Theoretical option price after the clearing session following the main trading session, in points	M	Numeric(28,8)
	TheoreticalPriceRub	Theoretical option price after the clearing session following the main trading session, in USD	O	Numeric(28,8)
	PriceDeviation	Change in theoretical price, in percent	O	Numeric(28,8)
	Delta	Delta coefficient	O	Numeric(28,8)
	Volatility	Volatility	O	Numeric(28,8)
	OpenPositionAmount	Open interest volume in Derivative Contracts at the end of the main trading session	M	Numeric(20,0)
	OpenPositionAmountFinal	Open interest volume in Derivative Contracts at the end of the trading day	O	Numeric(20,0)
	OpenPositionVolume	Open interest volume, in instrument currency	M	Numeric(28,2)
	OpenPositionVolumeRub	Open interest volume, in USD	O	Numeric(28,2)
/RESULT				

/OPTION				
/OPTIONSERIES				
/CONTRACT				
/BASEASSET				
/BASEASSETTYPE				
/BOARD				
/REPORT				

EXC82: Notification on Registered Codes/ Short Codes of Clients and/or a Trading Member

- The report is meant to inform on the Clients registered with the ITS and their details (identification data).
- The report is generated by ITS one or more times during the Trading Day in accordance with this Procedure.
- It contains information on registered Clients and changes in identification data of Clients. The report is sent to the Trading Members and Subbrokers.
- The report includes only Clients for whom changes occurred during the period for which the report is generated.

Element Name	Attribute Name	Description	M/O	Type
RTS_DOC		XML document root element.		
DOC_REQUISITES		Block of information on the document:		
	DOC_DATE	Date of the file compilation.	M	Date
	DOC_TIME	Time of the file compilation.	M	Time
	DOC_NO	Document ID in the Electronic Document Management System.	M	String(0-20)
	DOC_TYPE_ID	REPORT	M	String(0-20)
	SENDER_ID	ITSKK	M	String(3-7)
	SENDER_NAME	Short name of the sender.	O	WString(0-512)
	RECEIVER_ID	Receiver ID.	O	String(3-7)
	REMARKS	Text of notes to the file.	O	WString(0-120)
/DOC_REQUISITES				
REPORT		Block of report data:		
DOC_INFO				
	ReportLang	RU/EN	M	String(0-2)
	ReportCode	EXC82	M	String(0-20)
	ReportDate	Report date.	M	Date
	ReportDesc	Report description.	O	WString(0-512)
	ReportVersion	Report version.	O	String(1--3)
	Weekday	Day of the week of the report.	O	String(0-20)
	Volume	Report volume number	O	Integer

	VolumeTotal	Total volumes in the report	O	Integer
	ReportNumber	Continuous report number, uniform for all report volumes	O	String(0-20)
/DOC_INFO				
FIRM		Block of data on a Trading Member:	M	
	FirmID	Trading Member ID.	M	String(0-16)
	FirmName	Short name of the Trading Member.	M	WString(0-512)
RECORDS		Block of data on the Clients:		
	ClientCode	Client short code.	M	String(0-12)
	Principal ¹	Y – Is a Trading Member /Subbroker N – is a Client	M	Y_N_type
	Type	Type of Client / Trading Member	M	String(0-3)
	Details ¹	Client / Trading Member details	M	WString(0-256)
	CountryCode ¹	Country code of Client / Trading Member .	O	String(0-3)
	RegulatorCode ¹	Code of Client / Trading Member.	M	WString(0-256)
	Q_Investor	Notation indicating that the Client is a Professional Client: Y – yes; N – no.	O	Char
	Status	Trading Member client status A – added today U – changed today D – deleted today	M	Character
	CheckCrossMarket	Attribute of cross-market transactions	O	Y_N_type

¹ . If a Trading Member has a client who is a Subbroker, the Subbroker's client data (except for the Short client code) is not included in the report sent to the Trading Member.

	DerivativesClientProhibition	Mark indicating a prohibition on entering into derivatives contracts. Y - the prohibition is in effect	O	Char
	AccessStatus	Trading Member status A – admitted or renewed N – admission suspended D – admission terminated Trading Member client status A – registered or renewed N – registration suspended D – registration terminated	M	Character
/RECORDS				
/FIRM				
/REPORT				
/RTS_DOC				