Specification of documents
used in document flow between CC and Clearing Members
during clearing under Contracts

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Application shall be submitted on the Clearing Member's letterhead

International Trading System Limited

Application for replacement of currency of the funds registered as collateral assets
dated   20   .

_____

(full name and ID of the Clearing Member)

I request replacement of the funds registered as collateral assets on TCA:

No. [3]	TCA Code	Withdrawal currency **(indicate Withdrawal Currency Code)** *Example:USD, KZT,*	Indicate withdrawal amount in withdrawal currency [2]	Crediting currency [1] **(Indicate the crediting currency)** *Example:USD, KZT*	TCA Code for clearing commission withholding
1					
2					
..					

at CC rate as of the date and time of the operation.*

Position
(company director   (full name)
or another authorised person of the Clearing Member)

[1] *CC commission is charged on the indicated amount of funds credited in accordance with CC tariffs.*
[2] *Subject to rounding to 2 decimal places.*
[3] *Continuous numbering within one day.*

_____
    To be filled in by International Trading System Limited

To be sent in response to application	
Date	
Executed at the rate	
Amount of withdrawal[2] (USD, KZT)	
Amount of crediting [2] (USD, KZT)	
Amount of commission withheld[2] (USD, KZT)	
Fulfilment rejected	
Reason for rejection	

Application shall be submitted on the Clearing Member's letterhead

International Trading System Limited

Application for change of the procedure aimed at withholding of charges for execution of Contracts/ Internal OTC Contracts
dated " "   20   .

          I request you to include obligations/*indicate the name and Identifier of the Clearing Member*/ (the Clearing Member) to pay charges implemented in connection with execution by Clearing Members of Contracts/ Internal OTC Contracts and indicated in clause 10.1. of the Clearing Rules of International of Trading System Limited (the Clearing Rules), in the settlement clearing pool established in accordance with clause 24.5. of the Clearing Rules, on the Date of fulfilment of Contracts/ Internal OTC Contracts.

Position

—————————————————————————— . ———————————————

(company director   (full name)

or another authorised person of the Clearing Member)

l.s.

Application shall be submitted on the Clearing Member's letterhead

International Trading System Limited

Application for change of the procedure aimed at withholding of charges during clearing
dated "   "   20   .

_____
(full name and Identifier of the Clearing Member)

I request you to issue an invoice to implement the following clearing charges and reimbursements:

Charges withheld from TCA meant for charge payment:

☐   1. Clearing fee for the return of collateral funds of the Clearing Member[1];

☐   2. Clearing fee for the maintenance of Clearing Member's clearing registers ;[1]

☐   3. Clearing fee for maintenance of Clearing Member's clearing registers for separate accounting within the TCA registered for a separate client of the Clearing Member;[1]

☐   4. Clearing fee for procedure for the forced closure of positions during clearing, for the Contract;[1]

☐   5. Clearing fee for exchange of the currency of funds accounted for as Collateral;[1]

☐   6. Other tariffs (except for provision of Services for the payment of Transaction Tax in a foreign jurisdiction);[1]

☐   7. Provision of Services for the payment of Transaction Tax in a foreign jurisdiction [1];

Reimbursement of CC expenses withheld from TCA meant for charge payment:

☐   8. Reimbursement of CC expenses (except for expenses in clauses 9 and 10 of this application);[1]

9. Payment for services of the Settlement Depository for Trading and Guarantee Accounts [2]

☐   9.1. Reimbursement of expenses of the CC associated with the payment to the Settlement Depository of sums of money constituting the tariffs of the Settlement Depository for providing services related to the Trading and Guarantee Accounts.[1][3]

☐   9.2. Payment to the Settlement Depository of sums of money constituting the tariffs of the Settlement Depository for providing services related to the Trading and Guarantee Accounts [4][5]

☐   10. Reimbursement of CC expenses associated with payment of the Charge at registration of the General Agreement on repurchase agreements with Hong-Kong tax authority. [1];

CC writes off commissions, charges, and reimbursements not selected (not marked) in the said application from the TCA of the Clearing Member.

Position
(company director   (full name)
or another authorised person of the Clearing Member)

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[1] In accordance with CC tariffs;

[2] To change the payment method for services for the current month, this application must be submitted no later than the last working day of the current month;

[3] when this item is selected, the invoice is issued by the CC;

[4] when this item is selected, the invoice is issued by the Settlement Depository;

[5] Not allowed to specify for issue invoices in Kazakhstani tenge.

Message format

Text messages are used for exchange:

- File name extension: *.txt;
- Coding: win-1251;
- Each line starts with the first character;
- Field separator in the lines: tab character (0x09);
- Number of separators in a line shall strictly comply with specification;
- Line separator: combination of <CR>+<LF> and (0x0A,0x0D) characters;
- End-of-message character is an empty line, and the number of lines is also indicated in message header.

The first line of a message containing applications includes a header, while other lines contain applications.with applications contains header, other lines contain the applications.

The first two lines of a response message contain header:

- the first line contains details of the response message;
- the second line is a copy of the first line from the message to which the response is provided, along with two fields indicating processing results.

Other lines contain applications from the initial message supplemented with their processing results.

If any critical errors are detected in course of processing of the header of a message with applications, the response message doesn't include applications from the message, to which response is being provided.

Designations used in specification
- c<x> – acceptable characters for standard coding of messages (Cyrillic not included) with the maximum length of X;
- w<x> - acceptable characters for standard coding of messages (including Cyrillic) with the maximum length of X;
- int – an integer;
- n<X>.<Y> – a real number with X digits before the dot and Y digits after the dot;
- underscore – fixed length;
- following designations are used in M/O column:
  - M (mandatory) – mandatory field (empty line is not allowed);
  - O (optional) – optional field (empty line or «-» character is allowed).

Messages indicated in this Specification can be compiled in Microsoft Word format. If Application is submitted in Microsoft Word format, 2 columns with technical values **MaxSize and M/O are not provided.**

Each electronic application in a message is transferred to CC in a separate line after the header line (i.e. starting from the 2nd line of the message), empty lines are not allowed.

Each electronic message processing result is transferred to Clearing Member in a separate line after the header lines (i.e. starting from the 3rd line of the message), empty lines are not allowed.

Format of headers of incoming/ outgoing messages and filling-in example

The first line of incoming message contains following header fields:

No.	Assignment	Comments	MaxSize	M/O
1	Date of the application	DD.MM.YY (separated with dots) where DD means day, MM means month, and YY means year	c8	M
2	Message number	up to 12 capital Latin letters or digits (no spaces). Number shall be specific for this type of document submitted by the Clearing Member in the current day.	c12	M
3	Sender ID	Address of the Clearing Member in the Electronic Document Management System:	c7	M
4	Recipient ID	Recipient code in the Electronic Document Management System: **ITSCP**	c7	M
5	Document type	Code designation of the document type	c30	M
6	Number of lines with applications	Checksum, line with the header is not included	Int	M

The first line of a response message contains following header fields:

No.	Assignment	Comments	MaxSize	M/O
1	Date of the application	DD.MM.YY (separated with dots) where DD means day, MM means month, and YY means year	c8	M
2	Message number	up to 12 capital Latin letters or digits (no spaces)	c12	M
3	Sender ID	Recipient code in the Electronic Document Management System: **ITSCP**	c7	M
4	Recipient ID	Code of the Clearing Member in Electronic Document Management System:	c7	M
5	Document type	**ANSWER_** + Code designation of the type of document, to which response is being provided	c30	M
6	Number of lines with applications	Checksum, two lines with the header are not included. If errors are identified at the stage of incoming message header processing, this field is always 0	Int	M
7	Number of lines with correctly processed applications	Checksum, two lines with the header are not included. If errors are identified at the stage of incoming message header processing, this field is always 0	Int	M

The second line of a response message contains following header fields:

No.	Assignment	Comments	MaxSize	M/O
1	Date of the application	From the message, to which response is provided	c8	M
2	Message number	From the message, to which response is provided	c12	M
3	Sender ID	From the message, to which response is provided	c7	M
4	Recipient ID	From the message, to which response is provided	c7	M
5	Document type	From the message, to which response is provided	c30	M
6	Number of lines with applications	From the message, to which response is provided	Int	M
7	Code of the result of processing of entire message	Codes of header processing errors, separator «;» character (semicolon)	c32	O
8	Text of the result of processing of entire message	Texts of header processing errors, separator «;» character (semicolon)	w512	O

Subsequent lines of a response message contain lines with text from the initial application with processing result for each line.

No.	Assignment	Comments	MaxSize	M/O
1	Number of processing result	List of codes of processing results, separator «;» character (semicolon)	c32	O
2	Description of processing result	List of descriptions of processing results, separator «;» character (semicolon)	w512	O

Formats of electronic applications in messages

TCA_REGISTER message [1]

Format to fill-in electronic message with application for TCA registration:

No.	Assignment	Comments	Details of the Clearing Member*	MaxSize	M/O
1	ID of the Clearing Member	Corresponds to the Clearing Member ID indicated in the application header		c12	M
2	Code of account group in Settlement Depositary	**CCPSD**		c12	M
3	Trading Account number	Trading Account must be open in Settlement Depositary at the time of application submission		c32	O
4	TCA Code	Code is independently set by the Clearing Member. Capital Latin letters must be used, digits and symbols + (plus), - (minus), _ (underscore) are allowed		c12	M
5	TCA type	Following TCA types are allowed: **p** – own TCA **c** – client TCA; **m** – TM TCA;		c1	M
6	Sign of TCA use for payment of charges, reimbursement of expenses incurred by CC by the end of the month*	Y-used N-not used		c1	M
7	Short Code of the Clearing Member's client to regulate cases of non-fulfilment and/ or the Clearing Member's Client, whose property is registered on this TCA	Short Code of the Clearing Member's client provided in accordance with clause 25.9 of the Clearing Rules		c12	O
8	Spare field	To be left blank		c12	O
9	TCA code for payment of commission for agreement execution	Indicated, if commission is to be withheld from TCA code different from that being registered.		c12	O
10	Sign of Separate TCA	Y-used Indicated, if separate accounting of Collateral Assets registered on this TCA is required in accordance with the Clearing Rules		c1	O
11	Sign of Separate TCA for Separate Client	Y-used Indicated, if this TCA is registered for the purpose of separate accounting of Separate Client's property		c1	O
12	Spare field	To be left blank		c3	O
13	Sign of Sales and Purchasing Agreement execution to settle non-fulfilment of obligations	Y-used Indicated for TCA with type m – TM , if it is necessary to execute sales and purchasing agreements instead of repurchase agreements in course of settlement of undue fulfilment of obligations in accordance with Article 25 of the Clearing Rules			

* Filled in for application transfer in Microsoft Word format

[1] Application for TCA registration

TCA is activated on the next calendar day after successful processing of Application for TCA registration, unless other time limits are provisioned in the Clearing Rules.

Clarifications on filling-in of message fields:

- To register TCA, it is necessary to open a Trading Account in advance;
- Field 6 - if CC makes a decision on suspension/ termination of the Clearing Member admission to clearing services, field Sign of TCA use for payment of charges, reimbursement of expenses incurred by CC by the end of the month will be ignored. CC may implement all charges, expenses, reimbursements by issuing invoices;
- Field 7 - it is possible not to indicate the Short Code of the Clearing Member's client at registration of any TCA type for registration of transactions without Central Counterparty;
- Field 7 – if Short Code of the client is indicated in field 7 at the time of TCA registration, this client must be registered and the Clearing Member must provide filled in documents on them to identify Beneficiaries and Intermediaries under Foreign Issuer Securities (under the procedure, defined in Article 36 of the Clearing Rules);
- Field 11 - for TCA being registered for Separate Clearing Member's client field 7 must be filled in. Short Code of the client must clearly correspond to the Client Code, for which the Separate TCA is being registered (this TCA is subject to tariffs).

ANSWER_TCA_REGISTER message

The message is sent in response to TCA_REGISTER application. Format of a line with response to application for TCA registration is following:

No.	Assignment	Comments	MaxSize	M/O
1	ID of the Clearing Member	From the application, to which response is provided	c12	M
2	Code of account group in Settlement Depositary	From the application, to which response is provided	c12	M
3	Trading Account number	From the application, to which response is provided	c32	M
4	TCA Code	From the application, to which response is provided	c12	M
5	TCA type	From the application, to which response is provided	c1	M
6	Sign of TCA use for payment of charges calculated by CC at the end of the month	From the application, to which response is provided	c1	M
7	Short Code of the Clearing Member's client to regulate cases of non-fulfilment and/ or the Clearing Member's client, whose property is registered on this TCA	From the application, to which response is provided	c12	M
8	Spare field	To be left blank	c12	O
9	TCA code for payment of commission for agreement execution	From the application, to which response is provided	c12	O
10	Sign of Separate TCA	From the application, to which response is provided	c1	O
11	Sign of Separate TCA for Separate Client	From the application, to which response is provided	c1	O
12	Spare field	To be left blank	c1	O
13	Number of processing result	List of codes of processing results, separator «;» character	c32	M
14	Description of processing result	List of descriptions of processing results, separator «;» character	w255	O
15	Sign of sales and purchasing agreement execution to settle non-fulfilment of obligations	From the application, to which response is provided	c1	O

TCA_CORRECTION message [2]

Format of the line with **application for TCA parameter change** is following:

No.	Assignment	Comments	Data of the Clearing Member*	MaxSize	M/O
1	TCA Code	Code of TCA, parameters of which shall be changed. TCA code change is not allowed.		c12	M
2	Sign of TCA use for payment of charges calculated by CC at the end of the month	Y - used N - not used		c1	M
3	Short Code of the Clearing Member's client to regulate cases of non-fulfilment	Short Code of the Clearing Member's client provided in accordance with clause 25.9 of the Clearing Rules		c12	P
4	Spare field	To be left blank			
5	TCA code for payment of commission for agreement execution	Indicated, if commission is to be withheld from TCA code different from that being registered. If code is not indicated in this field, withdrawal will be made from this TCA		c12	O
6	Spare field	To be left blank		c3	O
7	Sign of Sales and Purchasing Agreement execution to settle non-fulfilment of obligations	Y-used Indicated for TCA with type m – TM, if it is necessary to execute sales and purchasing agreements instead of repurchase agreements in course of settlement of undue fulfilment of obligations in accordance with Article 25 of the Clearing Rules		c1	O

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[2] Application for TCA parameter change
*Filled in in case of application transfer in Microsoft Word format

TCA parameter (except for paragraph 5) changes are activated on the next calendar day after successful processing of Application for TCA parameter change, unless other time limits are provisioned in the Clearing Rules. TCA parameter changes specified in paragraph 5 are activated on the day of successful processing of Application for TCA parameter change, provided that the Application for TCA parameter change is submitted before 7 p.m.

Clarifications on filling-in of message fields:

Field 3 – if Short Code of the client is indicated in field 3 at the time of TCA parameter change, this client must be registered and the Clearing Member must provide filled in documents on them to identify Beneficiaries and Intermediaries under Foreign Issuer Securities (under the procedure, defined in Article 36 of the Clearing Rules).

ANSWER_TCA_CORRECTION message

The message is sent in response to TCA_CORRECTION application. Format of a line with response to application for TCA parameter change is following:

No.	Assignment	Comments	MaxSize	M/O
1	TCA Code	From the application, to which response is provided	c12	M
2	Sign of TCA use for payment of charges calculated by CC at the end of the month	From the application, to which response is provided	c1	M
3	Short Code of the Clearing Member's client	From the application, to which response is provided	c12	P
4	Spare field	To be left blank	c32	O

5	TCA code for payment of commission for agreement execution	From the application, to which response is provided	c12	O
6	Spare field	To be left blank	c1	O
7	Number of processing result	List of codes of processing results, separator «;» character	c32	M
8	Description of processing result	List of descriptions of processing results, separator «;» character	w255	O
9	Sign of Sales and Purchasing Agreement execution to settle non-fulfilment of obligations	From the application, to which response is provided	c1	O

TCA_DELETE message [3]

Format of the line with **application for TCA deletion** is following:

No.	Assignment	Comments	Data of the Clearing Member *	MaxSize	M/O
1	TCA Code	Code of TCA to be deleted		C12	M

* Filled in in case of application transfer in Microsoft Word format

If there are securities on Trading Account, securities are automatically withdrawn from Collateral Assets, and CC assignment as the operator of this Trading Account is cancelled.

Application will be rejected, if:

- TCA is used to account positions, obligations/requirements for the transfer of income on repurchase agreement of the Clearing Member;

- TCA is used to account funds;

- the TCA is the only TCA of the Clearing Member, that is used to write-off the fixed part

  of the commission charge and other charges in accordance with Tariffs (if any).

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[3] Application for TCA deletion

ANSWER_TCA_DELETE message

The message is sent in response to TCA_DELETE application. Format of the line with response to application for TCA deletion is following:

No.	Assignment	Comments	MaxSize	M/O
1	TCA Code	From the application, to which response is provided	c12	M
2	Number of processing result	List of codes of processing results, separator «;» character	c32	M
3	Description of processing result	List of descriptions of processing results, separator «;» character	w255	O

ACC_WITHDRAW_USD message [4]

Format of the line with application for registration of an account for funds withdrawal is following:

No.	Assignment	Comments	Data of the Clearing Member *	MaxSize	M/O
1	SWIFT of the recipient bank	Recipient bank must have SWIFT code		c12	M
2	SWIFT of the correspondent bank	Correspondent bank must have SWIFT code		c12	O
3	Account in the correspondent bank	The field must be filled in		C34	O
4	Recipient's account			C34	M

5	Recipient's name	Short name of the beneficiary in English in accordance with constituent documents (Cyrillic is not allowed, indicate the name without quotes)		c70	M
6	Recipient's SWIFT	Filled in, if the recipient has a SWIFT code		c12	O
7	Account currency	USD, KZT, EUR, GBP, CHF, AUD, JPY, CAD, TRY		c3	M
8	Sign of a default account [1]	DEFAULT - account for withdrawal in case of clearing service termination. If the field is left blank or there is a strikethrough, the account is not the default account in case of clearing service termination DEFAULT sign is set for the last registered account.		c12	O
9	Recipient's address	Address of the beneficiary in accordance with constituent documents with the indication of the street, city, country, and zip code (Cyrillic is not allowed). Obligatory for non-credit organisations		c70	O

* Filled in in case of application transfer in Microsoft Word format

[1] CC uses the account with DEFAULT sign to withdraw funds from all non-connected TCAs to account for funds withdrawal (by way of ACC_WITHDRAW_TCA message). An account, to which any TCAs are connected, cannot be used by CC as default account in case of clearing service termination.

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[4] Application for registration of an account for funds withdrawal.

Clarifications on filling-in of message fields:

To register an account for withdrawal, it is necessary to indicate either details of the beneficiary in accordance with SWIFT requirements for information disclosure, or SWIFT code of the beneficiary (if any). One of the fields 5 or 6 must be filled in for account registration.

ANSWER_ACC_WITHDRAW_USD message

The message is sent in response to ACC_WITHDRAW_USD application. Format of the line with response to application is following:

No.	Assignment	Comments	MaxSize	M/O
1	SWIFT-BIC of the recipient bank	From the application, to which response is provided	c12	M
2	SWIFT-BIC of the correspondent bank	From the application, to which response is provided	c12	O
3	Account in the correspondent bank	From the application, to which response is provided	C34	O
4	Recipient's account	From the application, to which response is provided	C34	M
5	Recipient's name, address	From the application, to which response is provided	c70	M
6	SWIFT-BIC of the recipient	From the application, to which response is provided	c12	O
7	Account currency	From the application, to which response is provided	c3	M
8	Number of processing result	List of codes of processing results, separator «;» character	c32	M
9	Description of processing result	List of descriptions of processing results, separator «;» character	w255	O
10	Account code assigned by CC	Filled in in case of successful processing in the following format: <bank code>_< account number >	c80	O
11	Sign of a default account	From the application, to which response is provided	c12	O

No.	Designation	Comments		MaxSize	M/O
12	Recipient's address	From the application, to which response is provided		c70	O

ACC_WITHDRAW_RUB message [5]

Format of the line with application form for rouble account registration for withdrawal is as follows:

No.	Designation	Comments	Details of the Clearing Member*	MaxSize	M/O
1	Name of the beneficiary bank			w128	M
2	Beneficiary account	For banks: when withdrawing to the correspondent account of the Central Bank of the Russian Federation specify the Beneficiary account of the Central Bank of the Russian Federation - 20 zeros		c20	M
3	Correspondent account of the bank			c20	M
4	BIK			c9	M
5	Amount currency	**RUB**		c3	M
6	Recipient's name	Abbreviated name of the beneficiary (specify without quotes) For banks: when withdrawing to the correspondent account of the Central Bank of the Russian Federation, specify the name of the bank		w105	M
7	Recipient's INN (Taxpayer Identification Number)			c12	M
8	Attribute of default account[1]	DEFAULT means an account for withdrawal on termination of clearing service. If the field is empty or dash is indicated, the account is not default upon termination of clearing service DEFAULT is marked for the last registered account		c12	O

* * Fill in for application form in Microsoft Word

[1] Funds are withdrawn by the CC from all TCA unlinked to the account for withdrawal of funds (by means of ACC_WITHDRAW_TCA message) by CC to the account marked DEFAULT. Account to which any TCA are linked may not be used by the CC as a default account upon termination of clearing service.

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Notes for filling in the message fields:

To register a rouble account for withdrawal, specify the name and INN of the account holder stated in the registered bank account agreement.

If the beneficiary name is incorrect/inaccurate, banks may credit the funds to the account titled Amounts received in correspondent accounts pending clarification.

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[5] Application form for account registration to withdraw funds (in Russian roubles)

Message ANSWER_ACC_WITHDRAW_RUB

Send message in response to application form ACC_WITHDRAW_RUB. Format of the line with answer to the application form is as follows:

No.	Designation	Comments	MaxSize	M/O
1	Name of the beneficiary bank	From the application, to which response is provided	w128	M
2	Beneficiary account	From the application, to which response is provided	c20	M
3	Correspondent account of the bank	From the application, to which response is provided	c20	M
4	BIK	From the application, to which response is provided	c10	M
5	Amount currency	From the application, to which response is provided	c3	M
6	Recipient's name	From the application, to which response is provided	w105	M
7	Recipient's INN(Taxpayer Identification Number)	From the application, to which response is provided	c12	M
8	Number of processing result	List of codes of processing results, separator «;» character	c32	M
9	Description of processing result	List of descriptions of processing results, separator «;» character	w255	O
10	Account code assigned by the CC	Fill in upon successful processing in the format: <bank code in SPB Clearing>_< account number >	c80	O
11	Attribute of the default account	From the application, to which response is provided	c12	O

ACC_WITHDRAW_TCA message [6]

Format of the line with application for linking of an account for funds withdrawal to TCA is following:

No.	Assignment	Comments	Data of the Clearing Member *	MaxSize	M/O
1	Account code assigned by CC[1]	Account must be registered at the time of application submission		c80	M
2	TCA Code	TCA must be registered at the time of application submission		c16	M
3	Operation type	A – to add D – to delete		c1	M

* Filled in in case of application transfer in Microsoft Word format

[1] It is prohibited to link TCA to an account featuring default account sign

ANSWER_ACC_WITHDRAW_TCA message

The message is sent in response to ACC_WITHDRAW_TCA application. Format of the line with response to application is following:

No.	Assignment	Comments	MaxSize	M/O
1	Account code assigned by CC	From the application, to which response is provided	c80	M
2	TCA Code	From the application, to which response is provided	c16	M
3	Operation type	From the application, to which response is provided	c1	M
4	Number of processing result	List of codes of processing results, separator «;» character	c32	M
5	Description of processing result	List of descriptions of processing results, separator «;» character	w255	O

[6] Application for registration of an account for funds withdrawal from TCA

ACC_WITHDRAW_DELETE message [7]

Format of the line with application for deletion of an account for funds withdrawal is following:

No.	Assignment	Comments	Data of the Clearing Member *	MaxSize	M/O
1	Account code assigned by CC[1]	Account must be registered at the time of application submission		c80	M

* Filled in in case of application transfer in Microsoft Word format

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ANSWER_ACC_WITHDRAW_DELETE message

The message is sent in response to ACC_WITHDRAW_DELETE application. Format of the line with response to application is following:

No.	Assignment	Comments	MaxSize	M/O
1	Account code assigned by CC	From the application, to which response is provided	c80	M
2	Number of processing result	List of codes of processing results, separator «;» character	c32	M
3	Description of processing result	List of descriptions of processing results, separator «;» character	w255	O

CLAIM_WITHDRAW message [8]

Format of the line with application for returning of funds of Collateral Assets is following:

No.	Assignment	Comments	Data of the Clearing Member *	MaxSize	M/O
1	Code of TCA for withdrawal	TCA must be registered at the time of application submission		c12	M
2	Code of the bank account for returning	Account code assigned by CC		c80	M
3	Transfer currency **	**USD, KZT, EUR, RUB, GBP, CHF, AUD, JPY, CAD, TRY**		c3	M
4	Amount in transfer currency	In monetary format (two decimal places, separated with a dot)		n20.2	M
5	ID of the application in the sender's accounting	The field is used to prevent repeated processing of applications. It is recommended to apply continuous numbering		c64	O
6	Payment purpose	KBE code must be indicated (*e.g. KBE25*). If necessary, the sender may indicate their own addition to the standard payment purpose, that will be included in the payment order as additional text. For currency accounts it is prohibited to use Cyrillic characters in this field. If the account for withdrawal is a correspondent account, in case of withdrawal to such account this field must indicate the final payee.		W20	O

No.		Assignment	Comments		c12	O
7		Client short code	Short Code of the Clearing Member's client in case of Client's funds withdrawal		c12	O

* Filled in in case of application transfer in Microsoft Word format;

** Transfer currency is selected in accordance with the list of foreign currencies accepted by International Trading System Limited as Collateral Assets, that is disclosed on CC web-site.

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[8] application for returning of funds of Collateral Assets

ANSWER_CLAIM_WITHDRAW message

The message is sent in response to CLAIM_WITHDRAW application. Format of a line with response to application is following:

No.	Assignment	Comments	MaxSize	M/O
1	Code of TCA for withdrawal	From the application, to which response is provided	c12	M
2	Code of the bank account for returning	From the application, to which response is provided	c80	M
3	Transfer currency	From the application, to which response is provided	c3	M
4	Amount in transfer currency	From the application, to which response is provided	n20.2	M
5	ID of the application in the sender's accounting	From the application, to which response is provided	c64	O
6	Payment purpose	If necessary, the sender may indicate their own addition to the standard payment purpose, that will be included in the payment order as additional text. If the field is left blank, default payment purpose will be indicated. For currency accounts it is prohibited to use Cyrillic characters in this field. If the account for withdrawal is a correspondent account, in case of withdrawal to such account this field must indicate the final payee.	W20	O
7	Number of processing result	List of codes of processing results, separator «;» character	c32	M
8	Description of processing result	List of descriptions of processing results, separator «;» character	w255	O
9	Document number assigned by CC	Indicated in case of successful Application processing	c32	M
10	Client short code	From the application, to which response is provided	c12	O

GUARANTEE_WITHDRAW message [9]

Format of the line with application for returning of the funds of Default Fund is following:

No.	Assignment	Comments	Data of the Clearing Member *	MaxSize	M/O
1	Code of the bank account for returning	Account code assigned by CC		c80	M
2	Transfer currency	Three-character currency code (e.g.: USD, etc.)		c3	M
3	Amount in transfer currency	In monetary format (two decimal places, separated with a dot)		n20.2	M
4	ID of the application in the sender's accounting	The field is used to prevent repeated processing of applications. It is recommended to apply continuous numbering		c64	O

* Filled in in case of application transfer in Microsoft Word format

When withdrawing funds from the Default Fund, no later than the next Settlement Day, CC shall suspend the access of the Clearing Member.

ANSWER_GUARANTEE_WITHDRAW message

The message is sent in response to GUARANTEE_WITHDRAW application. Format of the line with response to application is following:

No.	Assignment	Comments	MaxSize	M/O
1	Code of the bank account for returning	From the application, to which response is provided	c80	M
2	Transfer currency	From the application, to which response is provided	c3	M
3	Amount in transfer currency	From the application, to which response is provided	n20.2	M
4	ID of the application in the sender's accounting	From the application, to which response is provided	c64	O
5	Number of processing result	List of codes of processing results, separator «;» character	c32	M
6	Description of processing result	List of descriptions of processing results, separator «;» character	w255	O
7	Document number assigned by CC	Indicated in case of successful Application processing	c32	M

CANCEL_WITHDRAW message [10]

Format of the line with application for cancellation of previously submitted application for returning of the funds of Collateral Assets or Default Fund is following:

No.	Assignment	Comments	Data of the Clearing Member *	MaxSize	M/O
1	Document number assigned by CC	Number of application being cancelled assigned by CC		c32	M
2	Transfer currency	From the application being cancelled (for control)		c3	O
3	Amount in transfer currency	From the application being cancelled (for control)		n20.2	O
4	ID of the application in the sender's accounting	From the application being cancelled (for control)		c64	O

* Filled in for application transfer in Microsoft Word format

TRANSFER_SETTLE message [11]

Format of the line with application for funds transfer between TCA`s is following:

No.	Assignment	Comments	Member's details*	MaxSize	M/O
1	Code of TCA for withdrawal	TCA must be registered at the time of application submission		c12	M
2	Code of TCA for crediting	TCA must be registered at the time of application submission		c12	M

3	Transfer currency **	• **USD** (only for funds transfer between TCAs) • **KZT** (only for funds transfer between TCAs)		c3	M
4	Amount in transfer currency	In monetary format (two decimal places, separated with a dot)		n20.2	M
5	ID of the application in the sender's accounting	The field is used to prevent repeated processing of applications. It is recommended to apply continuous numbering		c64	O
6	Short Code of the client for withdrawal	Short Code of the Clearing Member's client		c12	O
7	Short Code of the client for crediting	Short Code of the Clearing Member's client		c12	O

* Filled in for application transfer in Microsoft Word format

** Transfer currency is selected in accordance with the list of foreign currencies accepted by International Trading System Limited as Collateral Assets, that is disclosed on CC web-site.

ANSWER_TRANSFER_SETTLE message

The message is sent in response to TRANSFER_SETTLE application. Format of the line with response to application is following:

No.	Assignment	Comments	MaxSize	M/O
1	Code of TCA for withdrawal	From the application, to which response is provided	c12	M
2	Code of TCA for crediting	From the application, to which response is provided	c12	M
3	Transfer currency	From the application, to which response is provided	c3	M
4	Amount in transfer currency	From the application, to which response is provided	n20.2	M
5	ID of the application in the sender's accounting	From the application, to which response is provided	c64	O
6	Number of processing result	List of codes of processing results, separator «;» character	c32	M
7	Description of processing result	List of descriptions of processing results, separator «;» character	w255	O
8	Document number assigned by CC	Indicated in case of successful Application processing	c32	M
9	Short Code of the client for withdrawal	From the application, to which response is provided	c12	O
10	Short Code of the client for crediting	From the application, to which response is provided	c12	O

[11] Order for funds transfer between TCAs

QUANTITY_26 message [12]

Format of the line with application on TCA and amount of funds order to perform actions aimed at Clearing Member obligation fulfilment :

No.	Assignment	Comments	Data of the Clearing Member *	MaxSize	M/O
1	TCA Code	TCA must be registered at the time of application submission		c12	M
2	Currency code	**USD** **KZT**		c3	M
3	Amount of funds	Non-negative number in monetary format (two decimal places, separated with a dot)		n20.2	M

* Filled in in case of application transfer in Microsoft Word format

Clarifications on filling-in of message fields:

Amount of funds available to settle non-fulfilment of obligations to execute agreements during

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trading arranged by another trading operator.

ANSWER_QUANTITY_26 message

The message is sent in response to QUANTITY_26 application. Format of the line with response to application is following:

No.	Assignment	Comments	MaxSize	M/O
1	TCA Code	From the application, to which response is provided	c12	M
2	Currency code	From the application, to which response is provided	c3	M
3	Amount of funds	From the application, to which response is provided	n20.2	M
4	Number of processing result	List of codes of processing results, separator «;» character	c32	M
5	Description of processing result	List of descriptions of processing results, separator «;» character	w512	O

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[12] Application on TCA and amount of funds in order to perform actions aimed at Clearing Member obligation fulfilment

REPO_LIMIT message [13]

Format of the line with application for setting of a limit for operations to regulate non-fulfilled obligations:

No.	Assignment	Comments	Clearing Member's details*	MaxSize	M/O
1	TCA Code	TCA must be registered at the time of application submission		c12	M
2	Security Code	Security ISIN		c3	M
3	Number of securities	Non-negative number in monetary format (two decimal places, separated with a dot)		n20.0	M

* Filled in in case of application transfer in Microsoft Word format

ANSWER_REPO_LIMIT message

The message is sent in response to REPO_LIMIT application. Format of the line with response to application is following:

No.	Assignment	Comments	MaxSize	M/O
1	TCA Code	From the application, to which response is provided	c12	M
2	Security Code	From the application, to which response is provided	c3	M
3	Number of securities	From the application, to which response is provided	n20.0	M
4	Number of processing result	List of codes of processing results, separator «;» character	c32	M
5	Description of processing result	List of descriptions of processing results, separator «;» character	w512	O

Requirements for naming of files with applications, reports, notifications, and other documents sent via Electronic Document Management System [1,2]

Documents indicated in this annex are exchanged using EDMS ITSCP@address.

No.	Document type	Prefix for incoming document in CC	Prefix for response document from CC	Address for interaction with CC regarding documents indicated in this Annex via electronic document management systems
1.	Application for replacement of the currency of funds registered as collateral assets	Application for replacement of the currency of funds	ANSWER_Application for replacement of the currency of funds	REGISTER
2.	Application for change of the procedure aimed at withholding of charges for execution of Contracts/ Internal OTC Contracts	Application for change of the procedure aimed at withholding of charges for execution of Contracts	-	REGISTER
3.	Application for change of the procedure aimed at withholding of charges during clearing	Application for change of the procedure aimed at withholding of charges during clearing		REGISTER
4.	Application for TCA registration	TCA_REGISTER_	ANSWER_TCA_REGISTER_	REGISTER
5.	Application for TCA parameter change	TCA_CORRECTION_	ANSWER_TCA_CORRECTION_	REGISTER
6.	Application for TCA deletion	TCA_DELETE_	ANSWER_TCA_DELETE_	REGISTER
7.	Application for registration of an account for funds withdrawal	ACC_WITHDRAW_USD_	ANSWER_ACC_WITHDRAW_USD_	REGISTER
8.	Application for registration of an account for funds withdrawal (in Russian roubles)	ACC_WITHDRAW_RUB_	ANSWER_ACC_WITHDRAW_RUB_	REGISTER
9.	Application for registration of an account for funds withdrawal to TCA	ACC_WITHDRAW_TCA_	ANSWER_ACC_WITHDRAW_TCA_	REGISTER
10.	Application for deletion of an account for funds withdrawal	ACC_WITHDRAW_DELETE_	ANSWER_ACC_WITHDRAW_DELETE_	REGISTER

11.	Application for returning of funds of Collateral Assets	CLAIM_WITHDRAW_	ANSWER_CLAIM_WITHDRAW_	REGISTER
12.	Application for returning of the funds of Default Fund	GUARANTEE_WITHDRAW_	ANSWER_GUARANTEE_WITHDRAW_	REGISTER
13.	Application for cancellation of previously submitted application for returning of the funds of Collateral Assets or Default Fund	CANCEL_WITHDRAW_	ANSWER_CANCEL_WITHDRAW_	REGISTER
14.	Application for funds transfer between TCAs	TRANSFER_SETTL_	ANSWER_TRANSFER_SETTLE_	REGISTER
15.	Application on TCA and amount of funds in order to perform actions aimed at Clearing Member obligation fulfilment	QUANTITY_26_	ANSWER_QUANTITY_26_	REGISTER
16.	Application on TCA for Repurchase Agreement execution by CC	REPO_LIMIT_	ANSWER_REPO_LIMIT_	REGISTER
17.	Letter in format doc, docx, pdf • Attachment in format doc, docx, pdf, zip, xls, xlsx, csv, txt, xml,xsd.	LETTER_ ANSWER_LETTER_ ATTACHMENT_ [1]	LETTER_ ANSWER_LETTER_ ATTACHMENT_ [1]	REGISTER CLIENT
18.	Rejection of incorrectly sent documents to CC	-	REJECTED, GENERIC_ERROR	Document sent by the Clearing Member to CC, that contains an error
19.	Notifications to Clearing Members			REPORT

[1] After prefix, further message name is free

[2] CC may ignore or refuse to process documents failing to comply with the said requirements

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[1] If there is more than one attachment to the Letter, it is recommended to indicate the item number (Attachment_1_, Attachment_2_, Attachment_3_, etc.)