THE APPLICATION (FILE) OF THE CLIENT - LEGAL ENTITY

**Part 1. (To be filled in by the client)**

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| Name, corporate name in English (full and/or abbreviated) and foreign languages (full and/or abbreviated) (if available) |  |
| Legal form of organisation |  |
| Taxpayer Identification Number or Foreign Corporate Code |  |
| The record number about accreditation of a branch, representative office of a foreign legal entity, registration number of the legal entity at the place of incorporation and registration - for a non-resident |  |
| The foreign legal entity registration number at the place of incorporation and registration |  |
| Date of state registration (for a branch (representative office) of a legal entity, accredited in the territory of the Republic of Kazakhstan, date of issue of the accreditation certificate (without taking into account the proceedings of extension of accreditation);  otherwise, the date of registration of the legal entity at the place of incorporation and registration) |  |
| SWIFT-code (if available) |  |
| Place of state registration (location) |  |
| Address of a legal entity |  |
| Contact information:  Postal address (if available)  Contact telephone and fax numbers  E-mail address |  |
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| Domain name, index of the website page on the Internet |  |
| **In respect of foreign structures without formation of a legal entity**  (block is formed when filling in) | |
| Code(s) (if available) of the foreign structure without formation of a legal entity in its state (territory) of registration (incorporation) as a taxpayer (or its (their) equivalent) |  |
| Registration number(s) (if available) allocated to the foreign structure without formation of a legal entity in the state (territory) of its registration (incorporation) upon registration (incorporation) |  |
| Place of main activity |  |
| For trusts and other foreign structures without formation of a legal entity with a similar structure or function  (block is formed when filling in) | |
| Composition of assets under management (ownership) |  |
| Surname, first name, patronymic (if available) (name) of founders (participants), trustee (manager) and protectors (if available) |  |
| Address of residence (location) of the founders (participants), trustee (manager) and protectors (if available) |  |
| **Information on licences for the client, other than a foreign structure without formation of a legal entity, to carry out licensable activities** | |
| *Number*:  Date of issue of licence:  Issued by, valid through:  List of licensable activities: |  |
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| **Information on the bodies of the legal entity** (structure and personal composition of the management bodies of the legal entity, except for information on the personal composition of the shareholders (participants) of the legal entity holding less than five per cent of the shares (stakes) of the legal entity),  **Structure and personal composition of management bodies of the foreign structure without formation of a legal entity (if available)** | |
| **The supreme governing body of the organisation** | |
| Type (general meeting of participants/shareholders, etc.) |  |
| Information on the personal composition (participants /shareholders) |  |
| Governing body (specify name, e.g. Board of Directors, Management Board, Supervisory Board, etc.)  (repeating block) | |
| Personal composition |  |
| ***Sole executive body*** | |
| Position (Director, Director General, President, etc.) |  |
| Information on the executive body |  |
| Information about the client's representative\*  (specify as appropriate) | single executive body  in addition to the single executive body, there is another representative |
| \*In addition, the section of this Application "Information about the Client's representatives - individuals" should be completed with the data of the organisation's representatives, including information about the sole executive body. | |
| **Information on representatives (individuals)**  (repeating block)  (block is formed only if there is a representative) | |
| Surname, first name, patronymic (if available) |  |
| Date of birth |  |
| Nationality |  |
| Name and details of the identity document:  series (if available) and document number,  the date of issue of the document,  the name of the issuing authority, if there is a unit code, may be omitted  unit code (if available) |  |
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| Name and data of the document confirming the right of a foreign citizen or stateless person to stay (residence) in the Russian Federation (data of the migration card in case there are no other documents):  series (if available) and document number,  start date of the right of stay (residence), end date of the right of stay (residence) |  |
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| Address of residence (registration) or domicile |  |
| Tax Identification Number (if available) |  |
| Contact telephone and fax numbers |  |
| Email address, postal address (if available) |  |
| Name, date of issue, expiry date, number of the document on which the authority of the Client's representative is based |  |
| Is the person (or their relatives) a public officer?\* | **No**  **Yes** |
| If yes, indicate the sources of monetary funds |  |
| \* *The term “public officer” includes the following categories:*  *a person holding a responsible public office;*  *an officer;*  *a person authorised to perform public functions;*  *a person who performs managerial functions in a public organisation or quasi-public sector entity;*  *a person appointed or elected to hold any position in the legislative, executive, administrative, judicial or military bodies of a foreign country;*  *a person who performs a public function for a foreign state;*  *a person holding an executive position in organisations established by countries on the basis of agreements that have the status of international agreements* | |
| **Information on representatives**  **(legal entities, foreign structure without formation of a legal entity)**  (repeating block)  (the block is formed only if there is a representative) | |
| Name, corporate name in Russian (full and/or abbreviated) and foreign languages (full and/or abbreviated) (if available) |  |
| Legal form of organisation |  |
| Taxpayer Identification Number or Foreign Corporate Code |  |
| The record number about accreditation of a branch, representative office of a foreign legal entity, registration number of the legal entity at the place of incorporation and registration - for a non-resident |  |
| The foreign legal entity registration number at the place of incorporation and registration |  |
| Date of state registration (for a branch (representative office) of a legal entity, accredited in the territory of the Republic of Kazakhstan, date of issue of the accreditation certificate (without taking into account the proceedings of extension of accreditation);  otherwise, the date of registration of the legal entity at the place of incorporation and registration) |  |
| Place of state registration (location) |  |
| Address of a legal entity |  |
| For foreign structures without formation of a legal entity | |
| Code(s) (if available) of the foreign structure without formation of a legal entity in its state (territory) of registration (incorporation) as a taxpayer (or its/their equivalent) |  |
| Registration number(s) (if available) allocated to the foreign structure without formation of a legal entity in the state (territory) of its registration (incorporation) upon registration (incorporation) |  |
| Place of main activity |  |
| Name, date of issue, expiry date, number of the document on which the authority of the Client's representative is based |  |
| **Details of the beneficial owner(s)\***  (repeating block)   * **There are no individuals who ultimately own, directly or indirectly (have a majority equity stake of more than 25 per cent in the capital of the organisation), or control, directly or indirectly, the actions of the organisation** | |
| \*A beneficial owner is an individual who ultimately, directly or indirectly (through third parties, including through a legal entity, several legal entities or a group of relevant legal entities), owns (has a majority equity stake of more than 25 percent in the capital) a client-legal entity, or directly or indirectly controls the client's actions, including being able to determine decisions taken by the client | |
| Surname, first name, patronymic (if available) |  |
| Date of birth |  |
| Nationality |  |
| Name and details of the identity document:  series (if available) and document number,  the date of issue of the document,  the name of the issuing authority, if there is a unit code, may be omitted  unit code (if available) |  |
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| Address of residence (registration) or stay |  |
| Tax Identification Number (if available) |  |
| Contact telephone and fax numbers |  |
| Email address, postal address (if available) |  |
| Is the person (or their relatives) a public officer? | **No**  **Yes** |
| If yes, indicate the sources of monetary funds |  |
| Grounds for recognising an individual as a beneficial owner |  |
| **Information on the activities of the organisation** | |
| Scope of work of the organisation |  |
| Is your company obliged to pay taxes in the USA? | **YES\* / NO**  **Indicate TIN if YES**  **\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_** |

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| Information on the origin of the Client's funds and/or other assets |
| 🞎 operating revenue  🞎 raising loans and/or credits  🞎 financial assistance from the founders (members/shareholders etc.)  🞎 other (please specify)  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |

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| Additional information |
| Specify the information that is essential in your opinion about the business reputation of the company, about the availability of information about the company's activities in open sources, about the company's personnel (number, qualifications), information about the placement of securities on the stock exchange (if applicable), the intended nature of business relations with International Trading System Limited (long-term, short-term).  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |

I confirm that the information given in this application is complete and correct. I undertake to immediately inform International Trading System Limited in writing of any changes in the information provided.

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| (Client’s full name) | |  | (signature) |

«\_\_\_\_\_»\_\_\_\_\_\_\_\_\_\_\_\_\_\_ 20\_\_\_. L.S.

(date)

*Or*

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*(Full name of the employee who carried out (position) (signature)*

*Client’s identification/updating information about the Client/*

*authorised employee* of International Trading System Limited

*« »\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ 20 \_\_.*

*(date)*