**Client Account Opening/Closing/Modification Request**

**NO**.      , **DATE**      /     /

(DD/MM/YYYY)

|  |  |
| --- | --- |
| **Client’s Full Name** |       |
| **Account Number** |       |

|  |  |  |  |
| --- | --- | --- | --- |
| **Type of Request** | **[ ]  Opening** | **[ ]  Closing[[1]](#footnote-1)** | **[ ]  Modification[[2]](#footnote-2)** |
| **Type of Account**  | **[ ]  House Account** | **[ ]  Omnibus Account** |
| **[ ]  Trading House Account**  | **[ ]  Trading Omnibus Account** |

|  |  |
| --- | --- |
| Business Identification Number (if applicable) |       |
| Date of Registration |       |
| Number of State Registration |       |
| Client’s Legal Address |       |
| Client’s Actual Address |       |
| First and Last Name ofContact Person |       |
| Phone Number of ContactPerson |       |
| Email |       |
| BIC code (if applicable) |       |
| EDMS code from ITS Tech (mandatory) |       |

**ACCOUNT DETAILS FOR CORPORATE ACTIONS[[3]](#footnote-3)**

|  |  |
| --- | --- |
| The method of receiving payments under corporate actions | **[ ]**Client’sbank account details |
| **[ ]**Client’strade clearing account (TCA) details opened with ITS CCP |

**APPLICANT’S BANK ACCOUNT DETAILS FOR CORPORATE ACTIONS (KZT)[[4]](#footnote-4)**

|  |  |
| --- | --- |
| Beneficiary |       |
| Business IdentificationNumber (if applicable) |       |
| Beneficiary’s Bank |       |
| Beneficiary’s AccountNumber (IBAN) |       |
| SWIFT Code |       |
| Cbe |       |
| Additional Information(if applicable) |       |

[Signature]

[Name]

[Position]

1. Fill only the “Account Number” if Type of Request is “Closing” [↑](#footnote-ref-1)
2. Fill only changing fields if Type of Request is “Modification” [↑](#footnote-ref-2)
3. By providing the Client’s bank Account or Trade Clearing Account details for corporate actions, the Client hereby irrevocably instructs ITS CSD to transfer any interest, dividend, distribution, or other benefits received or accrued on any Securities to the relevant Client Account specified in this form. [↑](#footnote-ref-3)
4. Bank Account Details for the currencies other than KZT shall be provided in a free format letter [↑](#footnote-ref-4)