**Admission of Securities as Admitted Securities to ITS CSD LTD.**
*pursuant to Rule 5.1.1 of the ITS CSD Business Rules*

**Applicant’s Information**

Full Legal Name of the Applicant:

ITS CSD Client's Account:

Name of the Representative:

Title of the Applicant’s representative:

Telephone No.:

Email:

I, \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ (full name of the Representative/CEO), acting in my capacity as an authorized representative, hereby apply for the admission of the Securities described in this application as Admitted Securities with ITS CSD, in accordance with Rule 5.1.1 of the ITS CSD Business Rules.

I acknowledge and confirm that the Securities being proposed for admission must comply with all relevant criteria set forth in the ITS CSD Rules and Procedures, including, but not limited to, the requirements under Rules 2.7.1(h)-(i) and 5.1.1 through 5.1.4 of the ITS CSD Rules.

I further undertake to notify ITS CSD in writing of any material changes to the information provided in this application within five (5) business days of becoming aware of such changes.

I hereby declare that the information provided in this application, including all supplements and supporting documents submitted to ITS CSD, is accurate and complete. I understand that providing any false or misleading information in this application, or in any enclosed documents, may result in legal action and constitute grounds for the rejection of this application.

Date \_\_\_\_\_\_\_\_\_\_\_\_\_\_

Full Name of the Representative/CEO \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

|  |  |
| --- | --- |
| **Application for Admission of the Securities to ITS CSD LTD**  |  |
| **Static Data[[1]](#footnote-1)** |
| **Issuer’s Information:** |
| Issuer’s Full Name |  |
| Issuer Short Code  |  |
| Issuer’s Country |  |
| Issuer’s Address |  |
| LEI code (if any) |  |
| Prospectus (enclose or provide link) |  |
| Brief description of the Issuer’s activities |  |
| Sanctions[[2]](#footnote-2) in respect of the Issuer (Yes/No) |  |
| **Security’s Information:** |
| Security Type (shares, investment units, debt instruments, depository receipts) |  |
| Security Name |  |
| Security Short Name |   |
| Security Code (Ticker) |   |
| CFI |  |
| ISIN |  |
| Issue Currency |  |
| Issue Date |  |
| Issue Price |  |
| Issued Quantity |  |
| Date of Admission to Trading |  |
| ­Settlement Currency |  |
| Name(s) of Regulated Exchange(s), where the Securities are admitted to trading |  |
| Sanctions2 in respect of the Securities (Yes/No) |  |
| GDR Ratio (if applicable)  |  |
| **Mandatory Fields for Investment Units (ETF)** |
| Security Kind (shares, investment units, debt instruments, depository receipts) |  |
| Fund Manager |  |
| Fund type (open-end/close-end) |  |
| Fund Valuation (Forward/Historical) |  |
| **Mandatory Fields for Debt Instruments (Bonds)** |
| Minimum tradable amount for OTC trades |  |
| Nominal Value |  |
| Maturity Date |   |
| Face Value |   |
| Year Basis (30/360 or Actual/Actual) |  |
| Coupon Rate |  |
| Price Multiplier |  |
| Coupon Frequency |  |
| Minimum tradable amount for OTC trades |  |
| Coupon calculation method  |  |
| **Settlement Information:** |
| Common Depository |   |
| Are the securities for which the application is now made eligible for electronic settlement? (Yes/No) |  |
| Default place of settlement (system): |  |

1. All fields are mandatory according to the type of securities.

Please fill in the table where applicable. [↑](#footnote-ref-1)
2. Any sanctions laws, regulations, embargoes, or restrictive measures enforced by the United Nations Security Council, the European Union, the United Kingdom and the United States including those administered by the United States Office of Foreign Assets Control (OFAC)

3 This application is subject to change and updates depending on addition of new class of securities [↑](#footnote-ref-2)