**Application to join the Terms of Business**

**International Trading System Central Security Depository Ltd**

Date:

Hereby, [     ] (hereinafter as the Applicant) declares its full and unconditional acceptance of the terms of Business Rules International Trading System Central Security Depository Ltd. (hereinafter referred to as the Rules) in the manner prescribed by Rules.

I hereby confirm that I have read all the provisions of the Rules, including the Procedures to the Rules, and undertake to comply with them.

|  |  |
| --- | --- |
| Applicant Full Name |       |
| Applicant Short Company Name |       |
| Business Identification Number / Taxpayer Identification Number (if applicable) |       |
| Place of incorporation |       |
| Date of Registration |       |
| Number of State Registration |       |
| License No.: |       |
| Date of license issue: |       |
| Home Regulator name and country of jurisdiction of the home regulator: |       |
| Client’s Legal Address |       |
| Client’s Actual Address |       |
| First and Last Name of Contact Person |       |
| Email |       |
| Phone Number of Contact Person |       |
| BIC code (if applicable) |       |
| EDMS code from “ITS Tech Limited” (mandatory) |       |
| KCSD Unique Client Code (if applicable)  |  |

|  |  |  |  |
| --- | --- | --- | --- |
| **№** | **Account** | **Type of the Account \*** | **Status of the Client** |
|  | [ ]  House Account  | [ ]  Trading Account  | [ ]  ITS CCP Clearing Participant[ ]  Client of the ITS CCP Clearing Participant\*\*\* |
|  | [ ]  Omnibus Account | [ ]  Trading Account  | [ ]  ITS CCP Clearing Participant[ ]  Depository / Custodian of ITS CCP Clearing Participant\*\*[ ]  Client of the ITS CCP Clearing Participant\*\*\* |
| \*- Check if a Trading Account must be opened |
| \*\*- Filled in by the Depository / Custodian of ITS CCP Clearing Participant:**[ ]**I hereby give my consent to the reflection of Transactions on the above Account related to my client's operations:       (name of the client – Clearing Participant)**[ ]**I hereby give my consent to the transmission of reports related to the above Account via electronic document management system (EDMS) of the specified Clearing Participant:       (EDMS code of the Clearing Participant) |
| \*\*\*- Filled in by the client of Clearing Participant:**[ ]**I hereby give my consent to the reflection of Transactions on the above Account for the operations of the following Clearing Participant:       (name of the Clearing Participant)**[ ]**I hereby give my consent to the transmission of reports related to the above Account via electronic document management system (EDMS) of the specified Clearing Participant:       (EDMS code of the Clearing Participant) |

**ACCOUNT DETAILS FOR CORPORATE ACTIONS[[1]](#footnote-1)**

|  |  |
| --- | --- |
| The method of receiving payments under corporate actions | **[ ]**Applicant’sbank account details |
| **[ ]**Applicant’strade clearing account (TCA) details opened with ITS CCP |

**APPLICANT’S BANK ACCOUNT DETAILS FOR CORPORATE ACTIONS (KZT)[[2]](#footnote-2)**

|  |  |
| --- | --- |
| Beneficiary |       |
| Business IdentificationNumber (if applicable) |       |
| Beneficiary’s Bank |       |
| Beneficiary’s AccountNumber (IBAN) |       |
| SWIFT Code |       |
| Cbe |       |
| Additional Information(if applicable) |       |

I hereby request ITS CSD Limited to appoint ITS Limited as the operator of the Trading Account (data are entered for the Clearing Participant, based on the results of clearing obligatory operations that will be carried out on the trading account).

I hereby request ITS CSD Limited to exchange information/documents in accordance with the Business Rules & Procedures via EDMS by “ITS Tech Limited” and send the Applicant reports/notifications on depository operations to the following EDMS address [     ] (the address is indicated in the EDMS by “ITS Tech Limited”).

The Applicant (Client) irrevocably and unconditionally appoints **[full name]** at **[legal address in AIFC/ KZ]** as its agent for service of process before the AIFC Court, and agrees that in accordance with the provisions above and/or on such agent shall be deemed due service for the purpose of proceedings in AIFC Court, and the Applicant covenants that, at all times while the obligations and rights conferred by the Rules subsist, it will maintain an agent for the service of process in the Republic of Kazakhstan for such purpose.

[Signature]

[Name]

[Position]

1. By providing the Applicant’s bank Account or Trade Clearing Account details for corporate actions, the Applicant hereby irrevocably instructs ITS CSD to transfer any interest, dividend, distribution, or other benefits received or accrued on any Securities to the relevant Applicant Account specified in this form. [↑](#footnote-ref-1)
2. Bank Account Details for the currencies other than KZT shall be provided in a free format letter [↑](#footnote-ref-2)