**Application to join the Terms of Business**

**International Trading System Central Security Depository Ltd**

Date:

Hereby, [     ] (hereinafter as the Applicant) declares its full and unconditional acceptance of the Terms of Business International Trading System Central Security Depository Ltd. (hereinafter referred to as the Rules) in the manner prescribed by Rules.

I hereby confirm that I have read all the provisions of the Terms, including the Procedures to the Terms, and undertake to comply with them.

|  |  |
| --- | --- |
| Applicant Full Name |       |
| Applicant Short Company Name |       |
| Business Identification Number (if applicable) |       |
| Place of incorporation |       |
| Date of Registration |       |
| Number of State Registration |       |
| License No.: |       |
| Date of license issue: |       |
| Home Regulator name and country of jurisdiction of the home regulator: |       |
| Client’s Legal Address |       |
| Client’s Actual Address |       |
| First and Last Name of Contact Person |       |
| Email |       |
| Phone Number of Contact Person |       |
| BIC code (if applicable) |       |
| EDMS code from “ITS Tech Limited” (mandatory) |       |

|  |  |  |  |
| --- | --- | --- | --- |
| **№** |  | **Type of Account** | **Account classification\*** |
|  | **[ ]** | House Account  | **[ ]  Trading Account**  |
|  | **[ ]** | Omnibus Account | **[ ]  Trading Account**  |
| \*Check if a Trading Account must be opened |

**ACCOUNT DETAILS FOR CORPORATE ACTIONS[[1]](#footnote-1)**

|  |  |
| --- | --- |
| The method of receiving payments under corporate actions | **[ ]**Applicant’sbank account details |
| **[ ]**Applicant’strade clearing account (TCA) details opened with ITS CCP |

**APPLICANT’S BANK ACCOUNT DETAILS FOR CORPORATE ACTIONS (KZT)[[2]](#footnote-2)**

|  |  |
| --- | --- |
| Beneficiary |       |
| Business IdentificationNumber (if applicable) |       |
| Beneficiary’s Bank |       |
| Beneficiary’s AccountNumber (IBAN) |       |
| SWIFT Code |       |
| Cbe |       |
| Additional Information(if applicable) |       |

I hereby request ITS CSD Limited to appoint ITS Limited as the operator of the Trading Account (data are entered for the Clearing Participant, based on the results of clearing obligatory operations that will be carried out on the trading account).

I hereby request ITS CSD Limited to exchange information/documents in accordance with the Business Rules & Procedures via EDMS by “ITS Tech Limited” and send the Applicant reports/notifications on depository operations to the following e-mail address [     ] (the address is indicated in the EDMS by “ITS Tech Limited”).

The Applicant (Client) irrevocably and unconditionally appoints **[full name]** at **[legal address in AIFC/ KZ]** as its agent for service of process before the AIFC Court, and agrees that in accordance with the provisions above and/or on such agent shall be deemed due service for the purpose of proceedings in AIFC Court, and the Applicant covenants that, at all times while the obligations and rights conferred by the Rules subsist, it will maintain an agent for the service of process in the Republic of Kazakhstan for such purpose.

[Signature]

[Name]

[Position]

1. By providing the Applicant’s bank Account or Trade Clearing Account details for corporate actions, the Applicant hereby irrevocably instructs ITS CSD to transfer any interest, dividend, distribution, or other benefits received or accrued on any Securities to the relevant Applicant Account specified in this form. [↑](#footnote-ref-1)
2. Bank Account Details for the currencies other than KZT shall be provided in a free format letter [↑](#footnote-ref-2)